

**Environmental Impact Assessment Ordinance (Cap. 499), Section 5(7)
Environmental Impact Assessment Study Brief No. ESB-185/2008**

**Project Title: Construction of a Secondary Boundary Fence and New Sections of
Primary Boundary Fence and Boundary Patrol Road**
(hereinafter known as the "Project")

Name of Applicant: Security Bureau
(hereinafter known as the "Applicant")

1. BACKGROUND

- 1.1 An application (No. ESB-185/2008) for an Environmental Impact Assessment (EIA) study brief under section 5(1) of the Environmental Impact Assessment Ordinance (EIAO) was submitted by the Applicant on 2 April 2008 with a project profile (No. PP-349/2008) (the Project Profile).
- 1.2 The Project mainly comprises the construction of a secondary boundary fence along the southern edge of the existing boundary patrol road (approximately 21.7km) from west (Pak Hok Chau) to east (Sha Tau Kok). For sections where the existing primary boundary fence runs along the southern edge of the boundary patrol road, a new fence with sensor alarm system will be constructed on the northern edge of the boundary patrol road as part of the primary boundary fence whereas the existing primary boundary fence will become the secondary boundary fence. The project also includes the conversion of the existing maintenance services road along the Shenzhen River bank to the north of the Lok Ma Chau Loop and Hoo Hok Wai into a new section of the boundary patrol road with a primary boundary fence and a secondary boundary fence; and the construction of two new sections of the boundary patrol road with a primary boundary fence and a secondary boundary fence along the Shenzhen River side to the north of Pak Fu Shan and northwest of Lin Ma Hang Village. In addition, the Project includes the construction of a checkpoint at the entrance to the Sha Tau Kok town (i.e. location of "Gate One") and the replacement of the existing checkpoint at Pak Hok Chau, the removal of the existing checkpoints at Lok Ma Chau, Sha Ling, Ping Che and Shek Chung Au, and the removal of the existing primary boundary fence along those sections of the existing boundary patrol road which will be replaced by the new sections of the boundary patrol road. The location of the Project is shown in Figure 1.
- 1.3 The Project is a designated project (DP) under item Q.1 of Part 1, Schedule 2 of the EIAO: *"All projects including new access roads, railways, sewers, sewage treatment facilities, earthworks, dredging works and other building works partly or wholly in an existing or gazetted proposed country park or special area, a conservation area, an existing or gazetted proposed marine park or marine reserve, a site of cultural heritage, and a site of special scientific interest."*
- 1.4 Pursuant to section 5(7)(a) of the EIAO, the Director of Environmental Protection (the Director) issues this EIA study brief to the Applicant to carry

out an EIA study.

1.5 The purpose of this EIA study is to provide information on the nature and extent of environmental impacts arising from the construction and operation of the Project and related activities that take place concurrently. This information will contribute to decisions by the Director on:

- (i) the overall acceptability of any adverse environmental consequences that is likely to arise as a result of the Project;
- (ii) the conditions and requirements for the detailed design, construction and operation of the Project to mitigate against adverse environmental consequences wherever practicable; and
- (iii) the acceptability of residual impacts after the proposed mitigation measures is implemented.

2. OBJECTIVES OF THE EIA STUDY

2.1 The objectives of the EIA study are as follows:

- (i) to describe the Project and associated works together with the requirements for carrying out the Project;
- (ii) to identify and describe elements of community and environment likely to be affected by the Project and/or likely to cause adverse impacts to the Project, including natural and man-made environment, and the associated environmental constraints;
- (iii) to provide information on the consideration of alternatives to avoid and minimize potential environmental impacts to environmentally sensitive areas and other sensitive uses; to compare the environmental benefits and dis-benefits of each of different options; to provide reasons for selecting the preferred option(s) and to describe the part environmental factors played in the selection of preferred option(s);
- (iv) to identify and quantify all environmental sensitive receivers, emission sources and determine the significance of impacts on sensitive receivers and potential affected uses;
- (v) to identify and quantify any potential losses or damage to flora, fauna and natural habitats;
- (vi) to identify and quantify any potential landscape and visual impacts and to propose measures to mitigate these impacts;
- (vii) to identify any negative impacts on sites of cultural heritage and propose measures to mitigate these impacts;
- (viii) to propose provision of mitigation measures so as to minimize

pollution, environmental disturbance & nuisance during construction & operation of Project;

- (ix) to investigate the feasibility, practicability, effectiveness and implications of the proposed mitigation measures;
- (x) to identify, within the study area, any individual project(s) that fall under Schedule 2 and/or Schedule 3 of the EIAO; to ascertain whether the findings of this EIA study have adequately addressed the environmental impacts of those projects; and where necessary, to identify the outstanding issues that need to be addressed in any further detailed EIA study;
- (xi) to identify, predict and evaluate the residual environmental impacts (i.e. after practicable mitigation) and the cumulative effects expected to arise during the construction and operation phases of the Project in relation to the sensitive receivers and potential affected uses;
- (xii) to identify, assess and specify methods, measures and standards, to be included in the detailed design, construction and operation of the Project which are necessary to mitigate these environmental impacts and cumulative effects and reduce them to acceptable levels;
- (xiii) to investigate the extent of the secondary environmental impacts that may arise from the proposed mitigation measures and to identify constraints associated with the mitigation measures recommended in the EIA study, as well as the provision of any necessary modification; and
- (xiv) to design and specify environmental monitoring and audit requirements to ensure the effective implementation of the recommended environmental protection and pollution control measures.

3. DETAILED REQUIREMENTS OF THE EIA STUDY

3.1 The Purpose

The purpose of this study brief is to scope the key issues of the EIA study. The Applicant has to demonstrate in the EIA report that the criteria in the relevant sections of the *Technical Memorandum on the Environmental Impact Assessment Process of the Environmental Impact Assessment Ordinance* (hereinafter referred to as “the TM”) are complied with.

3.2 The Scope

3.2.1 The scope of this EIA study shall cover the Project mentioned in section 1.2 above. The EIA study shall address the key issues described below, together with any other key issues identified during the course of the EIA study and the cumulative environmental impacts of the Project, through interaction or in combination with other existing, committed, planned and known potential

developments in the vicinity of the Project:

- (i) terrestrial and aquatic ecological impacts due to the construction (such as excavation, land filling and concrete paving works) and operation of the Project, in particular the potential impacts of disturbance and fragmentation to the adjacent recognized sites of conservation importance including the Mai Po and Inner Deep Bay Ramsar Site, Mai Po Marshes Site of Special Scientific Interest, Inner Deep Bay Site of Special Scientific Interest, Lin Ma Hang Lead Mine Site of Special Scientific Interest, Lin Ma Hang Stream Site of Special Scientific Interest, Wetland Conservation Area and Wetland Buffer Area (both were defined under Town Planning Board Guidelines TPB PG-No. 12B), important habitats such as wetlands and fish ponds at Hoo Hok Wai and Ta Sha Lok, ecological mitigation areas of other projects, and areas with conservation importance identified during the course of the EIA study;
- (ii) potential noise and dust impacts arising from the construction and operation of the Project and vehicular emission impacts arising from the operation of the Project;
- (iii) potential water quality impacts caused by excavation works and any other works activities during construction;
- (iv) waste arising as a result of the construction activities of the Project and potential land contamination from land to be resumed for the Project;
- (v) potential landscape and visual impacts from construction and operation of the Project; and
- (vi) potential impacts on sites of cultural heritage from construction and operation of the Project.

3.3 Consideration of Alternatives

3.3.1 Purpose and Objective of the Project

The Applicant shall provide information on the purpose and objectives of the Project.

3.3.2 Consideration of Alternative Construction Methods and Sequences of Works

Taking into consideration the combined effect with respect to the severity and duration of the construction impacts to the affected sensitive receivers, the EIA study shall explore alternative construction methods and sequences of works for the Project, with a view to shortening the construction period and avoiding prolonged adverse environmental impacts to the maximum practicable extent. A comparison of the environmental benefits and dis-benefits of applying different construction methods and sequence of works shall be made.

3.3.3 Selection of Preferred Construction Method(s) and Sequence(s) of Works

Taking into consideration of the findings in section 3.3.2 above, the Applicant shall recommend/justify the adoption of the preferred construction method(s) and sequence(s) of works that will avoid or minimize adverse environmental effects arising from the Project, and adequately describe the part that environmental factors played in arriving at the final selection.

3.4 Technical Requirements

The Applicant shall conduct the EIA study to address all environmental aspects as described in sub-sections 3.1, 3.2 and 3.3 above. The assessment shall be based on the best and latest information available during the course of the EIA study.

The Applicant shall include in the EIA report details of the construction programme and methodologies for the Project. The Applicant shall clearly state in the EIA report the time frame and work programmes of the Project and other concurrent projects, and assess the cumulative environmental impacts from the Project with all interacting projects.

The EIA study shall include the following technical requirements on specific impacts.

3.4.1 Air Quality Impact

3.4.1.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing air quality impact as stated in section 1 of Annex 4 and Annex 12 of the TM respectively.

3.4.1.2 During the construction stage, if the Applicant anticipates significant construction dust impact that will likely cause exceedance of the recommended limits in the TM at the air sensitive receivers (ASRs) despite incorporation of dust control measures, a quantitative assessment following the relevant technical requirements in sections 3.4.1.4 to 3.4.1.5 below shall be carried out to evaluate the construction dust impact at the identified ASRs.

3.4.1.3 During the operational stage, if the Applicant anticipates significant air quality impact that will likely cause exceedance of the recommended limits in the TM at the ASRs, a quantitative assessment following the relevant technical requirements in sections 3.4.1.4 to 3.4.1.5 below shall be carried out to evaluate the operational impact at the identified ASRs.

3.4.1.4 Study Area

- (i) The study area for construction and operational air quality impact assessment of the Project shall be defined by a distance of 500m from the boundary of the Project site area.
- (ii) The cumulative assessment for the construction stage shall take into account the impacts of major emission sources from other concurrent construction projects.

- (iii) The cumulative assessment of operational stage of the Project shall take into account the impacts from the roads within 500m from the new section of the Boundary Patrol Road.

3.4.1.5 (i) Background and Analysis of Activities

- (a) Provide background information relating to air quality issues relevant to the Project.
 - (b) Give an account, where appropriate, of the consideration/measures that had been taken into consideration in the planning of the Project to abate the air pollution impact.
 - (c) Present the background air quality levels in the study area for the purpose of evaluating cumulative air quality impacts during
- (ii) Identification of Air Sensitive Receivers (ASRs) and Examination of Emission/Dispersion Characteristics
 - (a) Identify and describe representative existing and planned/committed ASRs that would likely be affected by the Project, including those earmarked on the relevant Outline Zoning Plans, Development Permission Area Plans, Outline Development Plans, Layout plans and other relevant published land use plans, including plans and drawings published by Lands Department. The Applicant shall select the assessment points of the identified ASRs such that they represent the worst impact point of these ASRs. A map showing the location and description including the name of buildings, their uses and height of selected assessment points shall be given. Separation distances of these ASRs from the nearest emission sources shall also be given.
 - (b) Provide a list of air pollutant emission sources, including any nearby emission sources which are likely to have impact related to the Project based on the analysis of the construction and operation activities of the Project in sub-section 3.4.1.5 (i)(a) above. Any concurrent projects identified relevant, their possible emissions shall also be taken into account in the air quality assessment. Confirmation of the validity of the assumptions and the magnitude of the activities shall be obtained from the relevant government departments/parties and documented in the EIA report.
 - (iii) Constructional Phase Air Quality Impact
 - (a) The Applicant shall follow the requirements of the Air Pollution Control (Construction Dust) Regulation to ensure constructional dust impacts are controlled within the relevant

standards as stipulated in section 1 of Annex 4 of the TM. A construction phase audit and monitoring program during constructional stage shall be devised to verify the effectiveness of the control measures to ensure proper construction dust control. The Applicant shall follow the methodology set out in sub-sections 3.4.1.5 (v) and (vi) below when carrying out the quantitative assessment.

(iv) Operational Phase Air Quality Impact

(a) The Applicant shall calculate the expected air pollutant concentrations at the identified ASRs based on the assumed reasonably worst-case scenario. The evaluation shall be based on the strength of the emission sources identified in sub-section 3.4.1.5(ii)(b) above. The Applicant shall follow sub-section 3.4.1.5 (v) and (vi) below when carrying out the quantitative assessment.

(b) The air pollution impacts of future road traffic shall be calculated based on the highest emission strength from the road within the next 15 years upon commencement of operation of the proposed road. The Applicant shall demonstrate that the selected year of assessment represents the highest emission scenario given the combination of vehicular emission factors and traffic flow for the selected year. The Fleet Average Emission Factors used in the assessment shall be agreed with the Director. If necessary, the Fleet Average Emission Factors shall be determined by a motor vehicle emission model such as EMFAC-HK model to be agreed by the Director. All the traffic flow data and assumptions that used in the assessment shall be clearly and properly documented in the EIA report.

(v) Quantitative Assessment Methodology

(a) The Applicant shall assess the air pollutant concentrations with reference to the Guidelines for Local-Scale Air Quality Assessment using Models given in Appendices A1 to A3 or other methodology as agreed by the Director. The specific methodology must be documented in such level of details (preferably with tables and diagrams) to allow the readers of the EIA report to grasp how the model has been set up to simulate the situation at hand without referring to the model input files. Detailed calculation of the pollutant emission rates for input to the model and a map showing all the road links shall be presented in the EIA report. The Applicant must ensure consistency between the text description and the model files at every stage of submissions for review. In case of doubt, prior agreement between the Applicant and the Director on specific modelling details shall be sought.

- (b) The Applicant shall identify the key/representative air pollutant parameters (types of pollutants and the averaging time concentrations) to be evaluated and provide explanation for choosing these parameters for the assessment of the impact of the Project.
- (c) The Applicant shall calculate the cumulative air pollutant concentrations at the identified ASRs and compare these results against the criteria set out in section 1 of Annex 4 in the TM. The predicted air quality impacts (both unmitigated and mitigated) shall be presented in the form of summary table and pollution contours, to be evaluated against the relevant air quality standards and examination of the land use implications of these impacts. Plans of suitable scale shall be used to present pollution contour to allow buffer distance requirements to be determined properly.

(vi) Mitigating Measures for non-compliance

The Applicant shall propose remedies and mitigating measures where the predicted air quality impact exceeds the criteria set in section 1 of Annex 4 in the TM. These measures and any constraints on future land use planning shall be agreed with the relevant government departments/authorities and documented in the EIA report. The Applicant shall demonstrate quantitatively that the resultant impacts after incorporation of the proposed mitigating measures will comply with the criteria stipulated in section 1 of Annex 4 in the TM.

(vii) Submission of model files

All input and output file(s) of the model run(s) shall be submitted to the Director in an electronic format.

3.4.2 Noise Impact

3.4.2.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing noise impact as stated in Annexes 5 and 13 of the TM respectively.

3.4.2.2 The noise impact assessment shall include the following:

(i) Determination of Study Area

The study area for the noise impact assessment shall generally include all areas within a distance of 300m from the boundary of the Project as shown in Figure 1 or other Project alignments as identified in the EIA. Subject to the agreement of the Director, the assessment area could be reduced accordingly if the first layer of noise sensitive receivers (NSRs), closer than 300m from the outer Project limit, provides acoustic shielding to those receivers at distances further away from the Project. Subject to the agreement of the Director, the assessment area shall be expanded to include NSRs at distances over 300m from the Project which would be affected by the Project. The

assessment area for the construction noise impact assessment shall also cover areas within 300m of any works sites proposed under the Project.

(ii) Provision of Background Information and Existing Noise Levels

The Applicant shall provide all background information relevant to the Project, including relevant previous or current studies. Unless required for determining the planning standards, such as those for planning of fixed noise sources, no existing noise levels are particularly required.

(iii) Identification of Noise Sensitive Receivers

- (a) The Applicant shall refer to Annex 13 of the TM when identifying the NSRs. The NSRs shall include all existing NSRs and all planned/committed noise sensitive developments and uses earmarked on the relevant Outline Zoning Plans, Outline Development Plans, Layout Plans and other relevant published land use plans, including plans and drawings published by Lands Department and any land use and development applications approved by the Town Planning Board. Photographs of all existing NSRs shall be appended to the EIA report.
- (b) The Applicant shall select assessment points to represent all identified NSRs for carrying out quantitative noise assessment described below. The assessment points shall be agreed with the Director prior to the quantitative noise assessment. A map showing the location and description such as name of building, use, and floor of each and every selected assessment point shall be given. For planned noise sensitive land uses without committed site layouts, the Applicant should use the relevant planning parameters to work out representative site layouts for noise assessment purpose. Such assumptions together with any constraints identified, such as setback of building, building orientation and extended podium, shall be agreed with the relevant responsible parties including Planning Department and Lands Department.

(iv) Provision of an Emission Inventory of the Noise Sources

The Applicant shall provide an inventory of noise sources including representative construction equipment for construction noise assessment. Confirmation of the validity of the inventory shall be obtained from the relevant government departments/authorities and documented in the EIA report.

(v) Construction Noise Assessment

- (a) The assessment shall cover the cumulative noise impacts due to the construction works of the Project and other concurrent projects identified during the course of the EIA study.
- (b) The Applicant shall carry out assessment of noise impact from construction (excluding percussive piling) of the Project during daytime, i.e. 7am to 7pm, on weekdays other than general holidays in

accordance with methodology in paragraphs 5.3 and 5.4 of Annex 13 of the TM. The criteria in Table 1B of Annex 5 of TM shall be adopted in the assessment. In case blasting works is involved, it shall be carried out, as far as practicable, outside the sensitive hours of 7 p.m. to 7 a.m. on Monday to Saturday and any time on a general holiday, including Sunday. For blasting that must be carried out during the above-mentioned sensitive hours, the noise impact from the removal of debris and rocks shall be fully assessed and adequate mitigation measures shall be recommended to reduce the noise impact.

- (c) To minimize the construction noise impact, alternative construction methods to replace percussive piling shall be proposed as far as practicable.
- (d) If the unmitigated construction noise levels are found exceeding the relevant criteria, the Applicant shall propose practicable direct mitigation measures (including movable barriers, enclosures, quieter alternative methods, re-scheduling and restricting hours of operation of noisy tasks) to minimize the impact. If the mitigated noise levels still exceed the relevant criteria, the duration of the noise exceedance at the affected NSR shall be given.
- (e) The Applicant shall, as far as practicable, formulate a reasonable construction programme so that no work will be required in restricted hours as defined under the Noise Control Ordinance (NCO). In case the Applicant needs to evaluate whether construction works in restricted hours as defined under the NCO are feasible or not in the context of programming construction works, reference should be made to relevant technical memoranda issued under the NCO. In case the Applicant considers that there is an unavoidable need to conduct certain type of construction works during the restricted hours, detailed justifications should be provided with the assessment of the degree and duration of the noise impact. Regardless of the results of construction noise impact assessment for restricted hours, the Noise Control Authority will process Construction Noise Permit (CNP) application, if necessary, based on the NCO, the relevant technical memoranda issued under the NCO, and the contemporary conditions/situations. This aspect should be explicitly stated in the noise chapter and the conclusions and recommendations chapter in EIA report.

(vi) Operational Noise Assessment

For any part of the Project identified to be noise sources such as the road traffic along the section of boundary patrol road in the EIA study, the Applicant shall assess the impact on the identified NSRs following the criteria and guidelines set out in Annexes 5 and 13 of the TM.

3.4.3 Water Quality Impact

3.4.3.1 The Applicant shall follow the criteria and guidelines for evaluating and

assessing water pollution as stated in Annexes 6 and 14 of the TM respectively.

- 3.4.3.2 The assessment area for the water quality impact assessment shall include all areas within 500m from the Project site boundary, including the Inner Deep Bay, Shenzhen River, Lin Ma Hang Stream, surrounding fish ponds and wetlands within the Conservation Area and Deep Bay Buffer Zones. This assessment area could be extended to include other areas such as stream courses and the associated water systems in the vicinity being impacted by the Project if found justifiable.
- 3.4.3.3 The Applicant shall identify and analyze physical, chemical and biological disruptions of marine, estuarine or fresh water system(s), catchment area(s), storm water channels, fish ponds and coastal water arising from the construction of the Project.
- 3.4.3.4 The Applicant shall address water quality impacts due to the construction and operation of the Project. Essentially the assessment shall address the following:
- (i) Collect and review of background information on the affected existing and planned water system(s), their respective catchments and sensitive receivers which might be affected by the Project;
 - (ii) Characterize water quality of the water system(s), their respective catchment and sensitive receivers which might be affected by the Project based on existing best available information or through appropriate site survey and tests;
 - (iii) Identify and analyse physical, chemical and biological disruptions of inland water, existing and planned drainage system arising from the proposed developments and associated works;
 - (iv) Identify pertinent water quality objectives and water quality criteria or standards for the water system(s) and all the sensitive receivers as mentioned in sub-section (i), (ii) and (iii) above, including ecological sensitive receivers for the assessments covered in section 3.4.5;
 - (v) Identify any alternation of water courses, natural streams, man-made fishponds, wetlands, change of drainage system, and change of flow regimes;
 - (vi) Identify and quantify existing and likely future water pollution sources including point discharges and non-point sources to surface water runoff. An emission inventory on the quantities and characteristics of these existing and likely future pollution sources in the assessment area shall also be provided. Field investigation and laboratory test, as appropriate, shall be conducted to fill relevant information gaps;
 - (vii) Predict and quantify the impacts on the identified water systems and sensitive receivers due to the construction and operation of the Project. All effluent generated shall require appropriate collection, treatment and

disposal to ensure that there is no net increase in pollution load to Deep Bay;

- (viii) Possible impacts include change in hydrology, flow regime, water quality and the effects on the aquatic organisms due to such changes. The prediction shall also take into account and include likely different construction stages or sequences, different operational stage;
- (ix) Assess the cumulative impacts due to other related concurrent and planned projects, activities or pollution sources along the identified water system(s) and sensitive receivers that may have a bearing on the environmental acceptability of the Project;
- (x) Propose effective water pollution prevention and mitigation measures to be implemented during the construction and operational stages so as to reduce the water quality impacts to within acceptable levels of standards. No net increase of pollution load to Deep Bay should be ensured. Requirement to be incorporated in the project contract document shall also be proposed;
- (xi) Analyze the provision and adequacy of the existing and planned future facilities to reduce pollution arising from the storm water drainage system and surface water runoff during construction and operation of the Project; establish a storm water pollution control plan to minimize the potential water quality impact; and
- (xii) Evaluate and quantify residual impacts on the affected water system(s) and the sensitive receivers with regard to the appropriate water quality criteria, standards or guidelines.

3.4.4 Waste Management Implications

3.4.4.1 The Applicant shall follow the criteria and guidelines for evaluating and assessing waste management implications as stated in Annexes 7 and 15 of the TM respectively.

3.4.4.2 The assessment of waste management implications shall cover the following:

- (i) Analysis of Activities and Waste Generation

The Applicant shall identify the quantity, quality and timing of the waste arising as a result of the construction activities of the Project, based on the sequence and duration of these activities.

- (ii) Proposal for Waste Management

- (a) Prior to considering disposal options for various wastes, opportunities for reducing waste generation, on-site/off-site re-use and recycling shall be evaluated. Measures which can be taken in planning and design stages e.g. by modifying design approach and in construction

stage to maximize waste reduction shall be separately considered.

- (b) After considering the opportunities for reducing waste generation and maximizing re-use, the types and quantities of the wastes required to be disposed of as a consequence shall be estimated and the disposal options for each type of waste shall be described in detail. The disposal method recommended for each type of waste shall take into account of the result of the assessment in (c) below.
- (c) The impact caused by handling (including labelling, packaging & storage), collection, and disposal of wastes shall be addressed in detail and appropriate mitigation measures shall be proposed. This assessment shall cover the following areas:
 - potential hazard;
 - air and odour emissions;
 - noise;
 - wastewater discharge; and
 - public transport.

(iii) Land Contamination

- (a) The Applicant shall identify all land lots/sites within the study area boundary which, due to their past or present land uses, are potential contaminated sites. A detailed account of the present activities and past land history in relation to possible land contamination shall be provided.
- (b) The list of potential contaminants, which are anticipated to be found in these potential contaminated sites shall be provided and the possible remediation options shall be discussed.

3.4.5 Ecological Impact

3.4.5.1 The Applicant shall follow the criteria and guidelines stated in Annexes 8 and 16 of the TM for evaluating and assessing the ecological impact.

3.4.5.2 The Applicant shall consider alternative alignment to prevent locating the Project near the existing conservation areas, the sites of special scientific interest and other ecological sensitive areas identified during the course of the EIA study.

3.4.5.3 The assessment area for the purpose of terrestrial ecological assessment shall include all areas within 500 metres from the Project site boundary (i.e. the existing boundary fence to be removed, the proposed new boundary patrol road, the proposed primary boundary fence and the proposed secondary boundary fence) and the areas likely to be impacted by the Project. For aquatic ecology, the assessment area shall be the same as the water quality impact assessment area described in section 3.4.3.2.

3.4.5.4 In the ecological impact assessment, the Applicant shall examine the flora, fauna and other components of the ecological habitats within the assessment area, including those highlighted in sub-section 3.2.1(i) above. The aim shall be to protect, maintain or rehabilitate the natural environment. In particular, the Project and associated works shall avoid impacts on conservation areas, the sites of special scientific interest and other ecological sensitive areas. The assessment shall identify and quantify as far as possible the potential ecological impact arising from the construction and operation of the Project and associated works.

3.4.5.5 The assessment shall include the following major tasks:

- (i) review the findings of relevant studies/surveys and collate all available information on the ecological characters of the assessment area;
- (ii) carry out any necessary field surveys, the duration of which shall be at least nine months (covering the wet and dry seasons), and investigations to verify the information collected, fill the information gaps identified and fulfill the objectives of the EIA study;
- (iii) evaluate the information collected from sub-sections 3.4.5.5(i) and (ii) above, and determine whether further ecological surveys are required;
- (iv) establish an ecological profile of the assessment area based on the data of relevant previous studies/surveys and results of additional ecological field surveys, and describe the characteristics of each habitat found. Major information to be provided shall include:
 - (a) description of the physical environment;
 - (b) habitat maps of suitable scale (1:1000 to 1:5000) showing the types and locations of habitats in the assessment area;
 - (c) ecological characteristics of each habitat type such as size, vegetation type, species present, dominant species found, species diversity, community structure, seasonal patterns, inter-dependence of the habitats and species, and presence of any features of ecological importance;
 - (d) representative colour photographs of each habitat type and any important ecological features identified; and
 - (e) species found that are rare, endangered and/or listed under local legislation, international conventions for conservation of wildlife/ habitats or Red Data Books;

- (v) investigate and describe the existing wildlife uses of the various habitats with special attention to those wildlife groups and habitats with conservation interests, including but not limited to the following:
- wetlands including intertidal mudflat, mangroves, fish ponds, wet agricultural lands, marshes, as well as natural stream courses and rivers,
 - roosting, breeding and feeding sites of wetland birds,
 - Fung Shui Woods and mature woodlands,
 - Bats and other mammals of conservation interests such as the rare *Lutra lutra chinensis*, *Herpestes urva*, and *Mustela kathiah*,
 - wetland birds or other birds of conservation interests such as the rare/uncommon *Aquila heliaca*, *Hieraaetus fasciatus*, *Rostratula benghalensis*, and *Zoothera citrina*,
 - other vertebrates of conservation interests known to occur in the assessment area such as rare *Lycodon ruhstrati ruhstrati*, *Mastacembelus armatus* and *Rasbora steineri*,
 - butterflies of conservation interests such as the rare *Catochrysops strabo*, *Graphium cloanthus* and *Pelopidas subochraceus*;
- (vi) describe all recognized sites of conservation importance in the vicinity of the Project and assess whether these sites will be affected by the Project and associated works;
- (vii) using suitable methodology to identify and quantify as far as possible any direct, indirect, on-site, off-site, primary, secondary and cumulative ecological impacts on the wildlife groups and habitats, reduction of species abundance/diversity, loss of feeding grounds, reduction of ecological carrying capacity, habitat fragmentation; and in particular the following:
- (a) ecological impacts of potential loss of areas of conservation interest such as conservation areas and sites of special scientific interest; and
 - (b) cumulative impacts due to other planned and committed development projects at or near the Project site area.
- (viii) evaluate the significance and acceptability of the ecological impacts identified using well defined criteria;
- (ix) recommend all possible alternatives (such as modification of layout, different alignment, reduced size and extent of built form and/or using other construction methods) and practicable mitigation measures to avoid, minimize and/or compensate for the adverse ecological impacts identified, evaluate the feasibility and effectiveness of the recommended mitigation measures and define the scope, type, location, implementation arrangement, subsequent management and maintenance of such measures. If off-site mitigation is considered

necessary, the guidelines and requirements laid down in Annex 16 of the TM should be followed;

- (x) determine and quantify as far as possible the residual ecological impacts after implementation of the proposed mitigation measures;
- (xi) evaluate the severity and acceptability of the residual ecological impacts using well-defined criteria; and
- (xii) review the need for and recommend any ecological monitoring programme required.

3.4.6 Landscape and Visual Impact

3.4.6.1 The Applicant shall follow the criteria and guidelines as stated in Annexes 10 and 18 of the TM and the EIAO Guidance Notes No.8/2002 "*Preparation of Landscape and Visual Impact Assessment under EIAO*" for evaluating and assessing landscape and visual impacts of the Project. Landscape and visual impacts during both construction and operation stages within the study area shall be assessed.

3.4.6.2 The study area for landscape impact assessment shall include areas within 100m from the Project while the study area for visual impact assessment shall be defined by the visual envelope of the Project. The defined visual envelope must be shown on a plan in the EIA report.

3.4.6.3 The Applicant shall review relevant plan(s) and/or studies which may identify areas of high landscape value and recommend country park, scenic protection area, conservation area, open space, amenity area and green belt designations. Any guidelines on landscape strategies, landscape frameworks, urban design concepts, special design areas, open space networks, landscape links that may affect the appreciation of the Project shall also be reviewed. The aim is to gain an insight to the future outlook of the area so as to assess whether the project can fit into surrounding setting. Any conflict with statutory town plan(s) and any published land use plans should be highlighted and appropriate follow-up action should be recommended.

3.4.6.4 The Applicant shall describe, appraise, analyse and evaluate the existing and planned landscape resources and character of the study area. A system shall be derived for judging landscape and visual impact significance as required under the TM and EIAO Guidance Note No.8/2002 "*Preparation of Landscape and Visual Impact Assessment under EIAO*". Annotated oblique aerial photographs and plans of suitable scale showing the baseline landscape character areas and landscape resources and mapping of impact assessment shall be extensively used to present the findings of impact assessment. Descriptive text shall provide a concise and reasoned judgment from a landscape and visual point of view. The assessment shall be particularly focused on the sensitivity of the landscape framework and its ability to accommodate change. The Applicant shall identify the degree of compatibility of the Project with the existing and planned landscape settings. The landscape impact assessment shall quantify

potential landscape impacts as far as possible, so as to illustrate the significance of such impacts arising from the Project. Clear mapping of the landscape impact is required. A tree survey shall be carried out and the impacts on existing trees shall be addressed. Cumulative landscape and visual impacts of the Project with other existing, committed and planned developments in the study area shall be assessed.

3.4.6.5 The Applicant shall assess the visual impacts of the Project. Clear illustrations including mapping of visual impact is required. The assessment shall include:

- (i) identification and plotting of visual envelope of the Project;
- (ii) identification of the key groups of sensitive receivers within the visual envelope with regard to views from ground level and elevated vantage points;
- (iii) description of the visual compatibility of the Project with the surrounding and the existing and planned setting, and its obstruction and interference with the key views of the adjacent areas; and
- (iv) description of the severity of visual impacts in terms of nature, distance and number of sensitive receivers.

3.4.6.6 The Applicant shall evaluate the merits of preservation in totality, in parts or total destruction of existing landscape and the establishment of a new landscape character area. In addition, alternative alignment, design and construction methods that would avoid or reduce the identified landscape and visual impacts shall be evaluated for comparison before adopting other mitigation or compensatory measures to alleviate the impacts. The mitigation measures proposed shall not only be concerned with damage reduction but shall also include consideration of potential enhancement of existing landscape and visual quality. The Applicant shall recommend mitigation measures to minimize adverse effects identified above, including provision of a landscape design.

3.4.6.7 The mitigation measures shall include preservation of vegetation and natural landscape resources, transplanting of trees, provision of screen planting, re-vegetation of disturbed land, woodland restoration, compensatory planting, provisioning / reprovisioning of amenity areas and open spaces, design of structures, provision of finishes to structures, colour scheme and texture of material used and any measures to mitigate the impact on existing and planned land uses and sensitive receivers. Parties shall be identified for the on going management and maintenance of the proposed mitigation works to ensure their effectiveness throughout the operation phase of the Project. A practical programme and funding proposal for the implementation of the recommended measures shall be provided. Presentation of photomontages of the Project in the existing and planned setting illustrating the effectiveness of the proposed mitigation measures shall be included.

3.4.6.8 Annotated illustration materials such as coloured perspective drawings, plans

and section/elevation diagrams, oblique aerial photographs, photographs taken at vantage points, and computer-generated photomontage shall be adopted to illustrate the landscape and visual impacts of the Project. In particular, the landscape and visual impacts of the Project with and without mitigation measures from representative viewpoints, particularly from views of the most severely affected visually sensitive receivers (i.e. worst case scenario) shall be properly illustrated in existing and planned setting at four stages (existing condition, Day 1 with no mitigation measures, Day 1 with mitigation measures and Year 10 with mitigation measures) by computer-generated photomontage so as to demonstrate the effectiveness of the proposed mitigation measures. Computer graphics shall be compatible with Microstation DGN file format or as agreed with the Director. The Applicant shall record the technical details such as system set-up, software, data files and function in preparing the illustration, which may need to be submitted for verification of the accuracy of the illustrations.

3.4.7 Impact on Cultural Heritage

3.4.7.1 The Applicant shall follow the criteria and guidelines stated in Annexes 10 and 19 of the TM for evaluating and assessing the cultural heritage impact.

3.4.7.2 The cultural heritage impact assessment shall include terrestrial archaeological investigation. The cultural heritage impact assessment shall include archaeological and built heritage assessment:

- (i) The Applicant shall identify all sites of cultural heritage that might be adversely affected by construction of the Project. The EIA study shall establish a comprehensive inventory of archaeological sites, and historic buildings and structures located in close proximity to the Project that might be affected. A plan of proper scale showing the location of the proposed works and all sites of cultural heritage identified is required. A check list including all the affected sites of cultural heritage, impacts identified, recommended mitigation measures as well as the implementation agent and period shall also be included in the EIA. The Applicant shall engage a qualified archaeologist, who shall obtain a licence from the Antiquities Authority, before undertaking field evaluation under the provision of the Antiquities and Monuments Ordinance (Cap. 53).
- (ii) The Applicant shall demonstrate that the disturbance, including access, to those sites of cultural heritage is avoided to the maximum practicable extent by modification of the alignment, layout and design of the Project. For those sites of cultural heritage that might still be directly and indirectly affected by the Project and associated works, the Applicant shall recommend practicable mitigation measures and monitoring to avoid or keep the adverse impacts on the site of cultural heritage to the minimum.

3.4.8 Summary of Environmental Outcomes

The EIA report shall contain a summary of key environmental outcomes arising from the EIA study, including the population and environmentally sensitive areas protected, environmentally friendly designs recommended, key environmental problems avoided, compensation areas included and the environmental benefits of environmental protection measures recommended.

3.4.9 Environmental Monitoring and Audit (EM&A) Requirements

3.4.9.1 The Applicant shall identify and justify in the EIA study whether there is any need for EM&A activities during the construction and operation phases of the Project and, if affirmative to define the scope of EM&A requirements for the Project in the EIA study.

3.4.9.2 Subject to the confirmation of the EIA study findings, the Applicant shall comply with the requirements as stipulated in Annex 21 of the TM. The Applicant shall also propose real-time reporting of monitoring data, wherever practicable, for the Project through a dedicated internet website.

3.4.9.3 The Applicant shall prepare a Project Implementation Schedule (in the form of a checklist as shown in Appendix B to this EIA study brief) containing the EIA study recommendations and mitigation measures with reference to the implementation programme. The Project Implementation Schedule shall include the explicit agreement reached between the Applicant and relevant parties on the responsibility for funding, implementation, management and maintenance of mitigation measures. Alternatively, the Project Implementation Schedule shall include an undertaking from the Applicant to assume the responsibility of those mitigation measures until an agreement is reached between the Applicant and relevant parties on the funding, implementation, management and maintenance of mitigation measures.

4. DURATION OF VALIDITY

4.1 The Applicant shall notify the Director of the commencement of the EIA study. If the EIA study does not commence within 36 months after the date of issue of the EIA study brief, the Applicant shall apply to the Director for a fresh EIA study brief before commencement of the EIA study.

5. REPORT REQUIREMENTS

5.1 In preparing the EIA report, the Applicant shall refer to Annex 11 of the TM for the contents of an EIA report. The Applicant shall also refer to Annex 20 of the TM, which stipulates the guidelines for the review of an EIA report.

5.2 The Applicant shall supply the Director with the following number of copies of the EIA report and the executive summary:

- (i) 40 copies of the EIA report in English and 40 copies of the executive summary each bilingual in both English and Chinese) as required

under section 6(2) of the EIAO to be supplied at the time of application for approval of the EIA report.

- (ii) When necessary, addendum to the EIA report and the executive summary submitted in sub-section 5.2 (i) above as required under section 7(1) of the EIAO, to be supplied upon advice by the Director for public inspection.
 - (iii) 40 copies of the EIA report in English and 40 copies of the executive summary (each bilingual in both English and Chinese) with or without Addendum as required under section 7(5) of the EIAO, to be supplied upon advice by the Director for consultation with the Advisory Council on the Environment.
- 5.3 The Applicant shall, upon request, make additional copies of above documents available to the public, subject to payment by the interested parties of full costs of printing.
- 5.4 In addition, to facilitate public inspection of EIA report via EIAO Internet Website, the Applicant shall provide electronic copies of both the EIA report and executive summary prepared in HyperText Markup Language (HTML) (version 4.0 or later) and in Portable Document Format (PDF version 1.3 or later), unless otherwise agreed by the Director. For the HTML version, a content page capable of providing hyperlink to each section and sub-section of the EIA report and executive summary shall be included in the beginning of the document. Hyperlinks to figures, drawings and tables in the EIA report and executive summary shall be provided in the main text from where respective references are made. Graphics in the report shall be in interlaced GIF format unless otherwise agreed by the Director.
- 5.5 The electronic copies of the EIA report and the executive summary shall be submitted to the Director at the time of application for approval of the EIA report.
- 5.6 When the EIA report and the executive summary are made available for public inspection under section 7(1) of the EIAO, the content of the electronic copies of the EIA report and the executive summary must be the same as the hard copies and the Director shall be provided with the most updated electronic copies.
- 5.7 To promote environmentally friendly and efficient dissemination of information, both hardcopies and electronic copies of future EM&A reports recommended by the EIA study shall be required and their format shall be agreed by the Director.

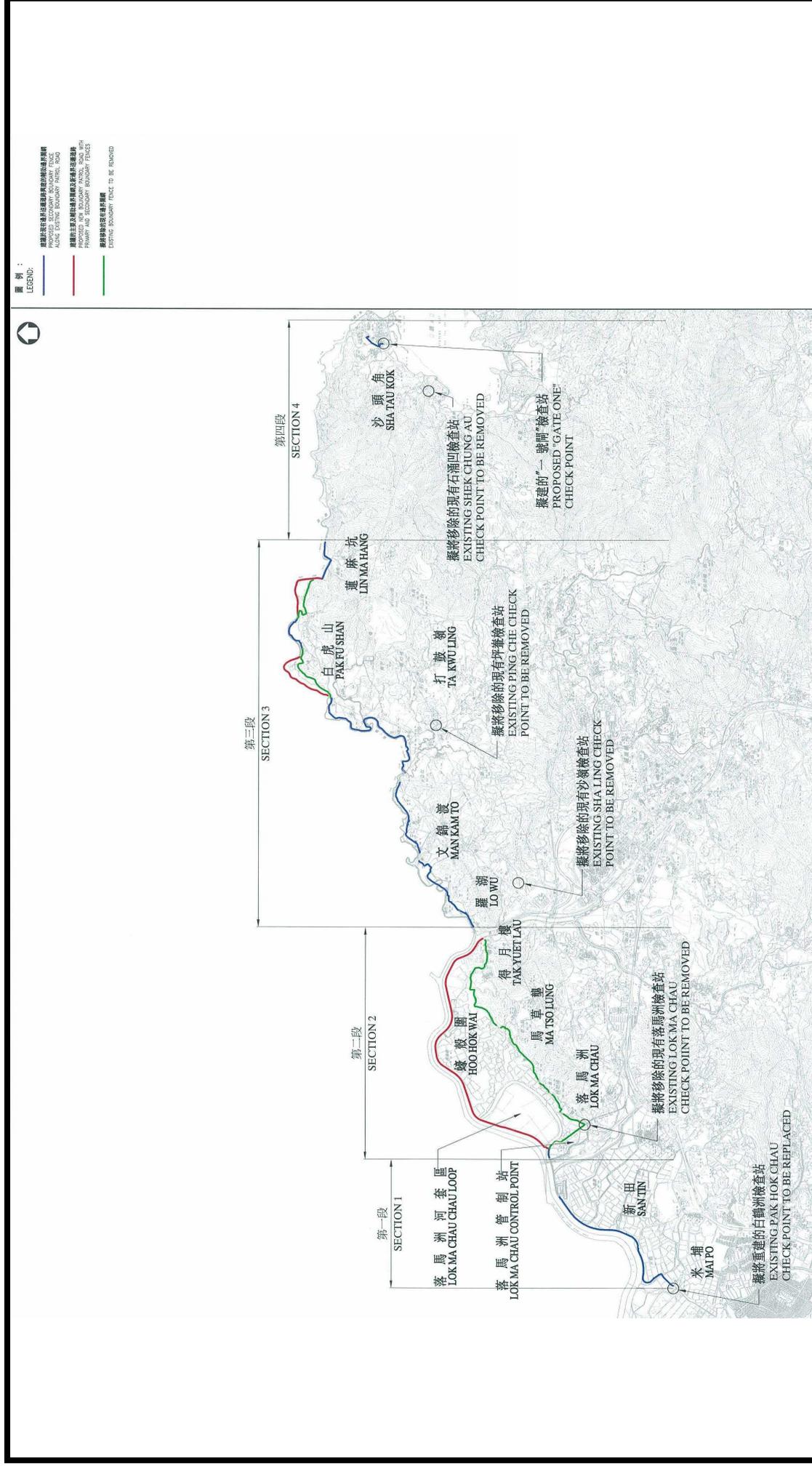
6. OTHER PROCEDURAL REQUIREMENTS

- 6.1 If there is any change in the name of Applicant for this EIA study brief during the course of the EIA study, the Applicant must notify the Director immediately.

- 6.2 If there is any key change in the scope of the Project mentioned in section 1.2 of this EIA study brief and in Project Profile (No. PP-349/2008), the Applicant must seek confirmation from the Director in writing on whether or not the scope of issues covered by this EIA study brief can still cover the key changes, and the additional issues, if any, that the EIA study must also address. If the changes to the Project fundamentally alter the key scope of the EIA study brief, the Applicant shall apply to the Director for a fresh EIA study brief.

--- END OF EIA STUDY BRIEF ---

May 2008
Environmental Assessment Division
Environmental Protection Department



Project Title – Construction of a Secondary Boundary Fence and New Sections of Primary Boundary Fence and Boundary Patrol Road



EIA Study Brief No. : ESB-185/2008

Figure 1 - Location Plan

Appendix A1

Guidelines on Choice of Models and Model Parameters

[The information contained in this Appendix is meant to assist the Applicant in performing the air quality assessment. The Applicant must exercise professional judgment in applying this general information.]

1. Introduction

1.1 To expedite the review process by the Authority and to assist project proponents or environmental consultants with the conduct of air quality modelling exercises which are frequently called for as part of environmental impact assessment studies, this paper describes the usage and requirements of a few commonly used air quality models.

2. Choice of models

2.1 The models which have been most commonly used in air quality impact assessments, due partly to their ease of use and partly to the quick turn-around time for results, are of Gaussian type and designed for use in simple terrain under uniform wind flow. There are circumstances when these models are not suitable for ambient concentration estimates and other types of models such as physical, numerical or mesoscale models will have to be used. In situations where topographic, terrain or obstruction effects are minimal between source and receptor, the following Gaussian models can be used to estimate the near-field impacts of a number of source types including dust, traffic and industrial emissions.

Model	Applications
FDM	For evaluating fugitive and open dust source impacts (point, line and area sources)
CALINE 4	For evaluating mobile traffic emission impacts (line sources)
ISCST 3	For evaluating industrial chimney releases as well as area and volumetric source (point, area and volume sources); line sources can be approximated by a number of volume sources.

These frequently used models are also referred to as Schedule 1 models (see attached list).

2.2 Note that both FDM and CALINE4 have a height limit on elevated sources (20 m and 10m, respectively). Source of elevation above these limits will have to be modelled using the ISCST3 model or suitable alternative models. In using the latter, reference should be made to the 'Guidelines on the Use of Alternative Computer Models in Air Quality Assessment'.

2.3 The models can be used to estimate both short-term (hourly and daily average) and long-term (annual average) ambient concentrations of air pollutants. The model results, obtained using appropriate model parameters (refer to Section 3) and assumptions, allow direct comparison with the relevant air quality standards such as the Air Quality Objectives (AQOs) for the relevant pollutant and time averaging period.

3. Model input requirements

3.1 Meteorological Data

3.1.1 At least 1 year of recent meteorological data (including wind speed, wind direction, stability class, ambient temperature and mixing height) from a weather station either

closest to or having similar characteristics as the study site should be used to determine the highest short-term (hourly, daily) and long-term (annual) impacts at identified air sensitive receivers in that period. The amount of valid data for the period should be no less than 90 percent.

3.1.2 Alternatively, the meteorological conditions as listed below can be used to examine the worst case short-term impacts:

Day time: stability class D; wind speed 1 m/s (at 10m height); worst-case wind angle; mixing height 500 m

Night time: stability class F; wind speed 1 m/s (at 10m height); worst case wind angle; mixing height 500 m

This is a common practice with using the CALINE4 model due to its inability to handle lengthy data set.

3.1.3 For situations where, e.g. (i) the model (such as CALINE4) does not allow easy handling of one full year of meteorological data; or (ii) model run time is a concern, the followings can be adopted in order to determine the daily and annual average impacts:

- (i) perform a frequency occurrence analysis of one year of meteorological data to determine the actual wind speed (to the nearest unit of m/s), wind direction (to the nearest 10o) and stability (classes A to F) combinations and their frequency of occurrence;
- (ii) determine the short term hourly impact under all of the identified wind speed, wind direction and stability combinations; and
- (iii) apply the frequency data with the short term results to determine the long term (daily / annual) impacts.

Apart from the above, any alternative approach that will capture the worst possible impact values (both short term and long term) may also be considered.

3.1.4 Note that the anemometer height (relative to a datum same for the sources and receptors) at which wind speed measurements were taken at a selected station should be correctly entered in the model. These measuring positions can vary greatly from station to station and the vertical wind profile employed in the model can be grossly distorted from the real case if incorrect anemometer height is used. This will lead to unreliable concentration estimates.

3.1.5 An additional parameter, namely, the standard deviation of wind direction, σ_{θ} , needs to be provided as input to the CALINE4 model. Typical values of σ_{θ} range from 12° for rural areas to 24° for highly urbanised areas under 'D' class stability. For semi-rural such as new development areas, 18° is more appropriate under the same stability condition. The following reference can be consulted for typical ranges of standard deviation of wind direction under different stability categories and surface roughness conditions.

Ref.(1): Guideline On Air Quality Models (Revised), EPA-450/2-78-027R, United States Environmental Protection Agency, July 1986.

3.2 Emission Sources

All the identified sources relevant to a process plant or a study site should be entered in the model and the emission estimated based on emission factors compiled in the AP-42 (*Ref. 2*) or other suitable references. The relevant sections of AP-42 and any parameters or

assumptions used in deriving the emission rates (in units g/s, g/s/m or g/s/m²) as required by the model should be clearly stated for verification. The physical dimensions, location, release height and any other emission characteristics such as efflux conditions and emission pattern of the sources input to the model should also correspond to site data. If the emission of a source varies with wind speed, the wind speed-dependent factor should be entered.

Ref.(2): Compilation of Air Pollutant Emission Factors, AP-42, 5th Edition, United States Environmental Protection Agency, January 1995.

3.3 Urban/Rural Classification

Emission sources may be located in a variety of settings. For modelling purposes these are classed as either rural or urban so as to reflect the enhanced mixing that occurs over urban areas due to the presence of buildings and urban heat effects. The selection of either rural or urban dispersion coefficients in a specific application should follow a land use classification procedure. If the land use types including industrial, commercial and residential uses account for 50% or more of an area within 3 km radius from the source, the site is classified as urban; otherwise, it is classed as rural.

3.4 Surface Roughness Height

This parameter is closely related to land use characteristics of a study area and associated with the roughness element height. As a first approximation, the surface roughness can be estimated as 3 to 10 percent of the average height of physical structures. Typical values used for urban and new development areas are 370 cm and 100 cm, respectively.

3.5 Receptors

These include discrete receptors representing all the identified air sensitive receivers at their appropriate locations and elevations and any other discrete or grid receptors for supplementary information. A receptor grid, whether Cartesian or Polar, may be used to generate results for contour outputs.

3.6 Particle Size Classes

In evaluating the impacts of dust-emitting activities, suitable dust size categories relevant to the dust sources concerned with reasonable breakdown in TSP (< 30 µgm) and RSP (< 10 µgm) compositions should be used.

3.7 NO₂ to NO_x Ratio

The conversion of NO_x to NO₂ is a result of a series of complex photochemical reactions and has implications on prediction of near field impacts of traffic emissions. Until further data are available, three approaches are currently acceptable in the determination of NO₂:

- (a) Ambient Ratio Method (ARM) - assuming 20% of NO_x to be NO₂; or
- (b) Discrete Parcel Method (DPM, available in the CALINE4 model); or
- (c) Ozone Limiting Method (OLM) - assuming the tailpipe NO₂ emission to be 7.5% of NO_x and the background ozone concentration to be in the range of 57 to 68 µg/m³ depending on the land use type (see also EPD reference paper '*Guidelines on Assessing the 'TOTAL' Air Quality Impacts*').

3.8 Odour Impact

In assessing odour impacts, a much shorter time-averaging period of 5 seconds is required due to the shorter exposure period tolerable by human receptors. Conversion of model computed hourly average results to 5-second values is therefore necessary to enable comparison against recommended standard. The hourly concentration is first converted to 3-minute average value according to a power law relationship which is stability dependent (*Ref. 3*) and a result of the statistical nature of atmospheric turbulence. Another conversion factor (10 for unstable conditions and 5 for neutral to stable conditions) is then applied to convert the 3-minute average to 5-second average (*Ref. 4*). In summary, to convert the hourly results to 5-second averages, the following factors can be applied:

Stability Category	1-hour to 5-sec Conversion Factor
A & B	45
C	27
D	9

Under 'D' class stability, the 5-second concentration is approximately 10 times the hourly average result. Note, however, that the combined use of such conversion factors together with the ISCST results may not be suitable for assessing the extreme close-up impacts of odour sources.

Ref.(3): Richard A. Duffee, Martha A. O' Brien and Ned Ostojic, 'Odor Modeling - Why and How', Recent Developments and Current Practices in Odor Regulations, Controls and Technology, Air & Waste Management Association, 1991.

Ref.(4): A.W.C. Keddie, 'Dispersion of Odours', Odour Control - A Concise Guide, Warren Spring Laboratory, 1980.

3.9 Plume Rise Options

The ISCST3 model provides by default a list of the U.S. regulatory options for concentration calculations. These are all applicable to the Hong Kong situations except for the 'Final Plume Rise' option. As the distance between sources and receptors are generally fairly close, the non-regulatory option of 'Gradual Plume Rise' should be used instead to give more accurate estimate of near-field impacts due to plume emission. However, the 'Final Plume Rise' option may still be used for assessing the impacts of distant sources.

3.10 Portal Emissions

These include traffic emissions from tunnel portals and any other similar openings and are generally modelled as volume sources according to the PIARC 91 (or more up-to-date version) recommendations (*Ref. 5*, section III.2). For emissions arising from underpasses or any horizontal openings of the like, these are treated as area or point sources depending on the source physical dimensions. In all these situations, the ISCST3 model or more sophisticated models will have to be used instead of the CALINE4 model. In the case of portal emissions with significant horizontal exit velocity which cannot be handled by the ISCST3 model, the impacts may be estimated by the TOP model (*Ref. 6*) or any other suitable models subject to prior agreement with EPD. The EPD's '*Guidelines on the Use of Alternative Computer Models in Air Quality Assessment*' should also be referred to.

Ref.(5): XIXth World Road Congress Report, Permanent International Association of Road Congresses (PIARC), 1991.

Ref.(6): N. Ukegunchi, H. Okamoto and Y. Ide "Prediction of vehicular emission pollution around a tunnel mouth", Proceedings 4th International Clean Air Congress, pp. 205-207,

Tokyo, 1977

3.11 Background Concentrations

Background concentrations are required to account for far-field sources which cannot be estimated by the model. These values, to be used in conjunction with model results for assessing the total impacts, should be based on long term average of monitoring data at location representative of the study site. Refer to EPD reference paper '*Guidelines on Assessing the TOTAL Air Quality Impacts*' for further information.

3.12 Output

The highest short-term and long-term averages of pollutant concentrations at prescribed receptor locations are output by the model and to be compared against the relevant air quality standards specified for the relevant pollutant. Contours of pollutant concentration are also required for indicating the general impacts of emissions over a study area. Copies of model files in electronic format should also be provided for EPD's reference.

Schedule 1
Air Quality Models Generally Accepted by Hong Kong Environmental Protection
Department
For Regulatory Applications as at 1 July 1998*

Industrial Source Complex Dispersion Model - Short Term Version 3 (ISCST3) or the latest version developed by U.S. Environmental Protection Agency (USEPA)

California Line Source Dispersion Model Version 4 (CALINE4) or the latest version developed by Department of Transportation, State of California, U.S.A.

Fugitive Dust Model (FDM) or the latest version developed by USEPA.

* EPD is continually reviewing the latest development in air quality models and will update this Schedule accordingly.

Appendix A2

Guidelines on Assessing the “Total” Air Quality Impacts

[The information contained in this Appendix is meant to assist the Applicant in performing the air quality assessment. The Applicant must exercise professional judgment in applying this general information.]

1. Total Impacts - 3 Major Contributions

1.1 In evaluating the air quality impacts of a proposed project upon air sensitive receivers, contributions from three classes of emission sources depending on their distance from the site should be considered. These are:

Primary contributions: project induced

Secondary contributions: pollutant-emitting activities in the immediate neighbourhood

Other contributions: pollution not accounted for by the previous two (Background contributions)

2. Nature of Emissions

2.1 Primary contributions

In most cases, the project-induced emissions are fairly well defined and quite often (but not necessarily) the major contributor to local air quality impacts. Examples include those due to traffic network, building or road construction projects.

2.2 Secondary contributions

Within the immediate neighbourhood of the project site, there are usually pollutants emitting activities contributing further to local air quality impacts. For most local scale projects, any emission sources in an area within 500m radius of the project site with notable impacts should be identified and included in an air quality assessment to cover the short-range contributions. In the exceptional cases where there is one or more significant sources nearby, the study area may have to be extended or alternative estimation approach employed to ensure these impacts are reasonably accounted for.

2.3 Background contributions

The above two types of emission contributions should account for, to a great extent, the air quality impacts upon local air sensitive receivers, which are often amenable to estimation by the 'Gaussian Dispersion' type of models. However, a background air quality level should be prescribed to indicate the baseline air quality in the region of the project site, which would account for any pollution not covered by the two preceding contributions. The emission sources contributing to the background air quality would be located further afield and not easy to identify. In addition, the transport mechanism by which pollutants are carried over long distances (ranging from 1km up to tens or hundreds of kms) is rather complex and cannot be adequately estimated by the 'Gaussian' type of models.

3. Background Air Quality - Estimation Approach

3.1 The approach

In view of the difficulties in estimating background air quality using the air quality models currently available, an alternative approach based on monitored data is suggested. The essence of this approach is to adopt the long-term (5-year) averages of the most recent monitored air quality data obtained by EPD. These background data would be reviewed

yearly or biennially depending on the availability of the monitored data. The approach is a first attempt to provide a reasonable estimate of the background air quality level for use in conjunction with EIA air quality assessment to address the cumulative impacts upon a locality. This approach may be replaced or supplemented by superior modelling efforts such as that entailed in PATH (Pollutants in the Atmosphere and their Transport over Hong Kong), a comprehensive territory-wide air quality modelling system currently being developed for Hong Kong. Notwithstanding this, the present approach is based on measured data and their long term regional averages; the background values so derived should therefore be indicative of the present background air quality. In the absence of any other meaningful way to estimate a background air quality for the future, this present background estimate should also be applied to future projects as a first attempt at a comprehensive estimate until a better approach is formulated.

3.2 Categorisation

The monitored air quality data, by 'district-averaging' are further divided into three categories, viz, Urban, Industrial and Rural/New Development. The background pollutant concentrations to be adopted for a project site would depend on the geographical constituency to which the site belongs. The categorisation of these constituencies is given in Section 3.4. The monitoring stations suggested for the 'district-averaging' (arithmetic means) to derive averages for the three background air quality categories are listed as follows:

Urban: Kwun Tong, Sham Shui Po, Tsim Sha Tsui and Central/Western

Industrial: Kwun Tong, Tsuen Wan and Kwai Chung

Rural/New Development: Sha Tin, Tai Po, Junk Bay, Hong Kong South and Yuen Long

The averaging would make use of data from the above stations wherever available. The majority of the monitoring stations are located some 20m above ground.

3.3 Background pollutant values

Based on the above approach, background values for the 3 categories have been obtained for a few major air pollutants as follows:

POLLUTANT	URBAN	INDUSTRIAL	RURAL/NEW DEVELOPMENT
NO2	59	57	39
SO2	21	26	13
O3	62	68	57
TSP	98	96	87
RSP	60	58	51

All units are in micrograms per cubic metre. The above values are derived from 1992 to 1996 annual averages with the exception of ozone which represent annual average of daily hourly maximum values for year 1996.

In cases where suitable air quality monitoring data representative of the study site such as those obtained from a nearby monitoring station or on-site sampling are not available for the prescription of background air pollution levels, the above tabulated values can be adopted instead. Strictly speaking, the suggested values are only appropriate for long term assessment. However, as an interim measure and until a better approach is formulated, the same values can also be used for short term assessment. This implies that the short term background values will be somewhat under-estimated, which compensates for the fact that some of the monitoring data are inherently influenced by secondary sources because of the monitoring

station location.

Indeed, if good quality on-site sampling data which cover at least one year period are available, these can be used to derive both the long term (annual) and short term daily / hourly background values, the latter are usually applied on an hour to hour, day to day basis.

3.4 Site categories

The categories to which the 19 geographical constituencies belong are listed as follows:

DISTRICT	AIR QUALITY CATEGORY
Islands	Rural/New Development
Southern	Rural/New Development
Eastern	Urban
Wan Chai	Urban
Central & Western	Urban
Sai Kung	Rural/New Development
Kwun Tong	Industrial
Wong Tai Sin	Urban
Kowloon City	Urban
Yau Tsim	Urban
Mong Kok	Urban
Sham Shui Po	Urban
Kwai Tsing	Industrial
Sha Tin	Rural/New Development
Tsuen Wan	Industrial
Tuen Mun	Rural/New Development
Tai Po	Rural/New Development
Yuen Long	Rural/New Development
Northern	Rural/New Development

3.5 Provisions for “double-counting”

The current approach is, by no means, a rigorous treatment of background air quality but aims to provide an as-realistic-as-possible approximation based on limited field data. 'Double-counting' of 'secondary contributions' may be apparent through the use of such 'monitoring-based' background data as some of the monitoring stations are of close proximity to existing emission sources.

'Primary contributions' due to a proposed project (which is yet to be realised) will not be double-counted by such an approach. In order to avoid over-estimation of background pollutant concentrations, an adjustment to the values given in section 3.3 is possible and optional by multiplying the following factor:

(1.0 - ESecondary contributions/ETerritory) where E stands for emission.

The significance of this factor is to eliminate the fractional contribution to background pollutant level of emissions due to 'secondary contributions' out of those from the entire territory. In most cases, this fractional contribution to background pollutant levels by the secondary contributions is minimal.

4. Conclusions

4.1 The above described approach to estimating the total air quality impacts of a proposed project, in particular the background pollutant concentrations for air quality assessment, should be adopted with immediate effect. Use of short term monitoring data to prescribe the background concentrations is no longer acceptable.

Appendix A3

Guidelines on the Use of Alternative Computer Models in Air Quality Assessment

[The information contained in this Appendix is meant to assist the Applicant in performing the air quality assessment. The Applicant must exercise professional judgment in applying this general information.]

1. Background

1.1 In Hong Kong, a number of Gaussian plume models are commonly employed in regulatory applications such as application for specified process licences and environmental impact assessments (EIAs). These frequently used models (as listed in Schedule 1 attached; hereafter referred to as Schedule 1 models) have no regulatory status but form the basic set of tools for local-scale air quality assessment in Hong Kong.

1.2 However, no single model is sufficient to cover all situations encountered in regulatory applications. In order to ensure that the best model available is used for each regulatory application and that a model is not arbitrarily applied, the project proponent (and/or its environmental consultants) should assess the capabilities of various models available and adopt one that is most suitable for the project concerned.

1.3 Examples of situations where the use of an alternative model is warranted include:

- (i) complexity of situation to be modelled far exceeds capability of Schedule 1 models; and
- (ii) performance of an alternative model is comparable or better than the Schedule 1 models.

1.4 This paper outlines the demonstration / submission required in order to support the use of an alternative air quality model for regulatory applications for Hong Kong.

2. Required Demonstration / Submission

2.1 Any model that is proposed for air quality applications and not listed amongst the Schedule 1 models will be considered by EPD on a case-by-case basis. In such cases, the proponent will have to provide the followings for EPD's review:

- (i) Technical details of the proposed model; and
- (ii) Performance evaluation of the proposed model

Based on the above information, EPD will determine the acceptability of the proposed model for a specific or general applications. The onus of providing adequate supporting materials rests entirely with the proponent.

2.2 To provide technical details of the proposed model, the proponent should submit documents containing at least the following information:

- (i) mathematical formulation and data requirements of the model;
- (ii) any previous performance evaluation of the model; and
- (iii) a complete set of model input and output file(s) in commonly used electronic format.

2.3 On performance evaluation, the required approach and extent of demonstration varies depending on whether a Schedule 1 model is already available and suitable in simulating the situation under consideration. In cases where no Schedule 1 model is found applicable, the proponent must demonstrate that the proposed model passes the screening test as set out in USEPA Document "Protocol for Determining the Best Performing Model"

- 2.4 For cases where a Schedule 1 model is applicable to the project under consideration but an alternative model is proposed for use instead, the proponent must demonstrate either that
- (i) the highest and second highest concentrations predicted by the proposed model are within 2 percent of the estimates obtained from an applicable Schedule 1 model (with appropriate options chosen) for all receptors for the project under consideration; or
 - (ii) the proposed model has superior performance against an applicable Schedule 1 model based on the evaluation procedure set out in USEPA Document "Protocol for Determining the Best Performing Model"
- 2.5 Should EPD find the information on technical details alone sufficient to indicate the acceptability of the proposed model, information on further performance evaluation as specified in Sections 2.3 and 2.4 above would not be necessary.
- 2.6 If the proposed model is an older version of one of the Schedule 1 models or was previously included in Schedule 1, the technical documents mentioned in Section 2.2 are normally not required. However, a performance demonstration of equivalence as stated in Section 2.4 (i) would become necessary.
- 2.7 If EPD is already in possession of some of the documents that describe the technical details of the proposed model, submission of the same by the proponent is not necessary. The proponent may check with EPD to avoid sending in duplicate information.

Schedule 1
Air Quality Models Generally Accepted by Hong Kong Environmental Protection
Department
For Regulatory Applications as at 1 July 1998*

Industrial Source Complex Dispersion Model - Short Term Version 3 (ISCST3) or the latest version developed by U.S. Environmental Protection Agency (USEPA)
California Line Source Dispersion Model Version 4 (CALINE4) or the latest version developed by Department of Transportation, State of California, U.S.A.
Fugitive Dust Model (FDM) or the latest version developed by USEPA.

* EPD is continually reviewing the latest development in air quality models and will update this Schedule accordingly.

Appendix B

Implementation Schedule of Recommended Mitigation Measures

EIA Ref.	EM &A Log Ref	Recommended Mitigation Measures	Objectives of the Recommended Measures & Main Concerns to address	Who to implement the measure	Location of the measure	When to implement the measures	What requirements or standards for the measures to achieve