

Appendix J

Event and Action Plan

Event and Action Plan for Impact Air Monitoring

	Action			
	ET (a)	IEC (a)	SOR (a)	Contractor(s)
Action Level Exceedance				
1. Identify the source.		1. Check monitoring data submitted by the ET.	1. Confirm receipt of notification of failure in writing.	1. Rectify any unacceptable practice
2. Repeat measurement to confirm finding. If two consecutive measurements exceed Action Level, the exceedance is then confirmed.		2. Check the Contractor's working method.	2. Notify the Contractor.	2. Amend working methods if appropriate
3. Inform the IEC and the SOR.		3. If the exceedance is confirmed to be Project related after investigation, discuss with the ET and the Contractor on possible remedial measures.	3. Ensure remedial measures properly implemented.	3. If the exceedance is confirmed to be Project related, submit proposals for remedial actions to IEC within 3 working days of notification
4. Investigate the cause of exceedance and check Contractor's working procedures to determine possible mitigation to be implemented.		4. Advise the SOR on the effectiveness of the proposed remedial measures.		4. Implement the agreed proposals
5. If the exceedance is confirmed to be Project related after investigation, increase monitoring frequency to daily.		5. Supervise implementation of remedial measures.		5. Amend proposal if appropriate
6. Discuss with the IEC and the Contractor on remedial actions required.				
7. If exceedance continues, arrange meeting with the IEC and the SOR.				
8. If exceedance stops, cease additional monitoring.				

	Action			
	ET (a)	IEC (a)	SOR (a)	Contractor(s)
Limit Level Exceedance				
	<ol style="list-style-type: none"> 1. Identify the source. 2. Repeat measurement to confirm finding. If two consecutive measurements exceed Limit Level, the exceedance is then confirmed. 3. Inform the IEC, the SOR, the DEP and the Contractor. 4. Investigate the cause of exceedance and check Contractor’s working procedures to determine possible mitigation to be implemented. 5. If the exceedance is confirmed to be Project related after investigation, increase monitoring frequency to daily. 6. Carry out analysis of the Contractor’s working procedures to determine possible mitigation to be implemented. 7. Arrange meeting with the IEC and the SOR to discuss the remedial actions to be taken. 8. Assess effectiveness of the Contractor’s remedial actions and keep the IEC, the DEP and the SOR informed of the results. 9. If exceedance stops, cease additional monitoring. 	<ol style="list-style-type: none"> 1. Check monitoring data submitted by the ET. 2. Check Contractor’s working method. 3. If the exceedance is confirmed to be Project related after investigation, discuss with the ET and the Contractor on possible remedial measures. 4. Advise the SOR on the effectiveness of the proposed remedial measures. 5. Supervise implementation of remedial measures. 	<ol style="list-style-type: none"> 1. Confirm receipt of notification of failure in writing. 2. Notify the Contractor. 3. If the exceedance is confirmed to be Project related after investigation, in consultation with the IEC, agree with the Contractor on the remedial measures to be implemented. 4. Ensure remedial measures are properly implemented. 5. If exceedance continues, consider what activity of the work is responsible and instruct the Contractor to stop that activity of work until the exceedance is abated. 	<ol style="list-style-type: none"> 1. Take immediate action to avoid further exceedance. 2. If the exceedance is confirmed to be Project related after investigation, submit proposals for remedial actions to IEC within 3 working days of notification. 3. Implement the agreed proposals. 4. Amend proposal if appropriate. 5. Stop the relevant activity of works as determined by the SOR until the exceedance is abated.

Note: (a) ET - Environmental Team; IEC - Independent Environmental Checker; SOR - Supervising Officer’s Representative

Event / Action Plan for Impact Dolphin Monitoring

EVENT	ACTION			
	ET	IEC	SOR	Contractor
Action Level	<ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences; 3. Identify source(s) of impact; 4. Inform the IEC, SOR and Contractor; 5. Check monitoring data. 6. Review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary. 	<ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring results and finding with the ET and the Contractor. 	<ol style="list-style-type: none"> 1. Discuss monitoring with the IEC and any other measures proposed by the ET; 2. If SOR is satisfied with the proposal of any other measures, SOR to signify the agreement in writing on the measures to be implemented. 	<ol style="list-style-type: none"> 1. Inform the SOR and confirm notification of the non-compliance in writing; 2. Discuss with the ET and the IEC and propose measures to the IEC and the SOR; 3. Implement the agreed measures.
Limit Level	<ol style="list-style-type: none"> 1. Repeat statistical data analysis to confirm findings; 2. Review all available and relevant data, including raw data and statistical analysis results of other parameters covered in the EM&A, to ascertain if differences are as a result of natural variation or previously observed seasonal differences; 	<ol style="list-style-type: none"> 1. Check monitoring data submitted by ET and Contractor; 2. Discuss monitoring results and findings with the ET and the Contractor; 3. Attend the meeting to discuss with ET, SOR and 	<ol style="list-style-type: none"> 1. Attend the meeting to discuss with ET, IEC and Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures. 2. If SOR is satisfied with the 	<ol style="list-style-type: none"> 1. Inform the SOR and confirm notification of the non-compliance in writing; 2. Attend the meeting to discuss with ET, IEC and SOR the necessity of additional dolphin monitoring and any other

EVENT	ACTION			
	ET	IEC	SOR	Contractor
	3. Identify source(s) of impact; 4. Inform the IEC, SOR and Contractor of findings; 5. Check monitoring data; 6. Repeat review to ensure all the dolphin protective measures are fully and properly implemented and advise on additional measures if necessary. 7. If ET proves that the source of impact is caused by any of the construction activity by the works contract, ET to arrange a meeting to discuss with IEC, SOR and Contractor the necessity of additional dolphin monitoring and/or any other potential mitigation measures (e.g., consider to modify the perimeter silt curtain or consider to control/temporarily stop relevant construction activity etc.) and submit to IEC a proposal of additional dolphin monitoring and/or mitigation measures where necessary.	Contractor the necessity of additional dolphin monitoring and any other potential mitigation measures. 4. Review proposals for additional monitoring and any other mitigation measures submitted by ET and Contractor and advise SOR of the results and findings accordingly. 5. Supervise / Audit the implementation of additional monitoring and/or any other mitigation measures and advise SOR the results and findings accordingly.	proposals for additional dolphin monitoring and/or any other mitigation measures submitted by ET and Contractor and verified by IEC, SOR to signify the agreement in writing on such proposals and any other mitigation measures. 3. Supervise the implementation of additional monitoring and/or any other mitigation measures.	potential mitigation measures. 3. Jointly submit with ET to IEC a proposal of additional dolphin monitoring and/or any other mitigation measures when necessary. 4. Implement the agreed additional dolphin monitoring and/or any other mitigation measures.

Note: ET – Environmental Team, IEC – Independent Environmental Checker, SOR – Supervising Officer’s Representative