Code of Practice for the Management of Clinical Waste

 Major Clinical Waste Producers and Waste Collectors
 (Draft)

Environmental Protection Department
The Hong Kong Special Administrative Region Government
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PREFACE

This Code of Practice is a statutory document published under Section 35 of the Waste Disposal Ordinance (Cap. 354) by the Secretary for the Environment after consultation with the Advisory Council on the Environment. The purpose of this Code is to provide guidance to major clinical waste producers and waste collectors to assist them to comply with the legal requirements of the Waste Disposal Ordinance and the Waste Disposal (Clinical Waste) (General) Regulation. Clinical waste is potentially dangerous because it may contain infectious materials and sharps. It is important to exercise special caution in the handling and management of clinical waste so as to minimize any danger to public health or risk of pollution to the environment.

Enquiries concerning this Code or the regulatory requirements may be addressed to the Environmental Protection Department at :

Address: Territorial Control Office

Environmental Protection Department

25/F, Southorn Centre, 130 Hennessy Road, Wanchai, Hong Kong.

Telephone: 2835 1055 Facsimile: 2305 0453

E-mail: enquiry@epd.gov.hk

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1. INTRODUCTION

Clinical waste arises from a number of sources, including hospitals and clinics, medical and dental surgeries, veterinary practices, medical teaching establishments, medical research and laboratories, and nursing homes. Clinical waste is potentially dangerous because it may contain infectious materials and sharps such as needles. In addition, clinical waste containing human organs and body parts may be offensive in nature. It is therefore important to exercise special caution in the handling and management of clinical waste in order to minimize its potential danger to public health or pollution to the environment.

This Code of Practice ("Code") is designed to provide guidance to major clinical waste producers ("waste producers") and clinical waste collectors to assist them to comply with the legal requirements of the Waste Disposal Ordinance (Cap. 354) and the Waste Disposal (Clinical Waste) (General) Regulation ("the Regulation"). As major and small waste producers have different modes of operation, a separate "Code of Practice for the Management of Clinical Waste - Small Clinical Waste Producers" has also been published to provide guidance to small waste producers. A list of major and small waste producers is given at Annex A.

2. THE DUTY OF CARE OF CLINICAL WASTE PRODUCERS

Waste producers have a duty of care to take the following measures in managing the clinical waste generated from their premises :

- Segregate clinical waste from other waste streams and prevent clinical waste from entering the disposal chain of municipal solid waste;
- Package and label clinical waste properly to enable easy identification, including information on the source of generation;
- Provide safe and secure temporary storage area for clinical waste;
- Ensure their staff take all necessary safety measures in handling clinical waste, and provide sufficient training for them; and
- Compile a Clinical Waste Management Plan for reference by their staff.

Specifically, the Regulation requires all waste producers to arrange for their clinical waste to be properly disposed of. Waste producers are deemed to have discharged the duty if they consign the waste to a licensed clinical waste collector ("licensed collector"), or arrange the waste to be delivered to a collection point or licensed clinical waste disposal facility ("licensed disposal facility") according to the requirements specified in the Regulation. The Regulation also requires waste producers to keep records of the clinical waste consigned to licensed collectors or delivered to a collection point or licensed disposal facility, and to produce such records for inspection upon request by the Director of Environmental Protection ("the Director").

DEFINITION OF CLINICAL WASTE

3.1 Types of Clinical Waste

Under the Waste Disposal Ordinance, clinical waste means waste consisting of any substance, matter or thing generated in connection with -

- a dental, medical, nursing or veterinary practice;
- any other practice, or establishment (howsoever described), that provides medical care and services for the sick, injured, infirm or those who require medical treatment;
- dental, medical, nursing, veterinary, pathological or pharmaceutical research; or
- a dental, medical, veterinary or pathological laboratory practice,

and which consists wholly or partly of any of the materials specified in one or more of the groups listed below:

Group 1 - Used or Contaminated Sharps

Syringes, needles¹, cartridges, ampoules and other sharp instruments which have been used or which have become contaminated with any other group of clinical waste.

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¹ Needles include acupuncture needles.

Group 2 - Laboratory Waste

Unsterilized laboratory stock cultures, or cultures, of infectious agents and potentially infectious waste with significant health risk from dental, medical, veterinary or pathological laboratories.

Note: "potentially infectious waste with significant health risk from dental, medical, veterinary or pathological laboratories" refers to those unsterilized materials or devices used to culture, transfer, inoculate or mix the laboratory stock cultures, or cultures, of infectious agents. Examples include culture dish, bottle, flask, tube, pipette, pipette tip, inoculation loop and inoculation wire.

Group 3 - Human and Animal Tissues

All human and animal tissues, organs and body parts as well as dead animals, but excluding -

- (a) dead animals and animal tissues, organs and body parts arising from a veterinary practice or a Chinese medicine practice; and
- (b) teeth arising from a dental practice.

Note: Group 3 clinical waste is not intended to cover small quantities of human and animal tissues which cannot be completely separated from items such as dressings.

Group 4 - Infectious Materials

Infectious materials from patients with the following pathogens - Crimean/Congo haemorrhagic fever, Ebola, Guanarito, Hendra, Junin, Kyasanur forest disease, Lassa fever, Machupo, Marburg, Nipah, Omsk, Russian spring-summer encephalitis, Sabia, Variola viruses; Herpesvirus simiae (B virus); and Severe Acute Respiratory Syndrome Coronavirus. Any materials contaminated by the above infectious materials are also classified as Group 4 waste.

Note: The Director may, by notice published in the Gazette, amend the list of pathogens under this group.

Group 5 - Dressings

Surgical dressings, swabs and all other waste dribbling with blood, caked with blood or containing free-flowing blood.

Group 6 - Other Wastes

Such other wastes as specified by the Director by notice published in the Gazette if in his opinion such wastes -

- (a) are likely to be contaminated with infectious materials from patients falling within such case definition as specified in the notice; and
- (b) may pose a significant health risk.

3.2 What Are Not Clinical Waste

For the avoidance of doubt, the following wastes are not classified as clinical waste and waste producers should observe relevant legal requirements applicable to the handling of these wastes:

- Radioactive waste, whether arising from medical sources or not, as defined under the Radiation (Control of Radioactive Substances) Regulations (Cap. 303A);
- Chemical waste as defined under the Waste Disposal (Chemical Waste)
 (General) Regulation (Cap. 354C) including cytotoxic drugs;

Note: "Cytotoxic drug" means a drug which has the capability of selectively killing cells while they are dividing. Cytotoxic drugs in bulk or of significant residual volume in containers (e.g. unused or partially used drugs in ampoules or syringes) are regarded as chemical waste and shall be disposed of according to the Waste Disposal (Chemical Waste) (General) Regulation. Significant residual volume means more than 3% volume of the container filled with the drugs. Ampoules or syringes with less than 3% volume filled with cytotoxic drugs can be placed in sharps boxes and disposed of as Group 1 clinical waste. Such sharps boxes (i.e. with sharps contaminated with residual amount of cytotoxic drugs) should be disposed of by incineration and not by any other methods.

- Dead animals and animal tissues, organs and body parts arising from veterinary practices, abattoirs, pet shops, farms, wholesale and retail markets, Chinese medicine practices, or domestic sources; and
- Human corpses.

4. SEGREGATION, PACKAGING AND LABELLING OF CLINICAL WASTE

4.1 Segregation

Clinical waste should be segregated from municipal solid waste or other waste streams at the point of arising. Different groups of clinical waste should be handled differently according to their packaging requirements as specified in section 4.2 of this Code. A sufficient number of appropriate and dedicated containers for holding clinical waste should be placed adjacent to the locations where clinical waste is generated so as to facilitate the segregation.

Clinical waste should be put into appropriate containers as quickly as possible so as to avoid contaminating other materials and to minimize potential human exposure. Containers for holding clinical waste should be covered by secure lids.

4.2 Packaging

4.2.1 General Requirements

Clinical waste should be placed in containers that are leak-proof, impervious to moisture and strong enough to prevent tearing or bursting under normal handling. Such containers should be of one-trip type and should not be reused. They should be capable of being sealed in a manner that can prevent spillage of the contents during transportation.

The containers should be in good condition and free from contamination, damage or any other defects which may impair their safe and secure use. A waste producer should carry out visual inspection of such containers to determine their condition before they are used.

4.2.2 Recommended Types of Containers

Different groups of clinical waste should be placed in the appropriate types of containers in accordance with Table 1. All containers should be securely closed and sealed in accordance with section 4.2.5 of this Code before collection.

Group 1 clinical waste should be put into sharps boxes. Group 2, 3, 4, 5 and 6 clinical wastes should be put into either heavy duty plastic bags or plastic drums that are strong enough to hold the waste without any leakage or breakage. Plastic drums should be used for clinical waste containing liquids.

Group 2, 4, 5 and 6 clinical wastes may be placed together in the same bag/drum. Group 3 clinical waste should be placed in separate bags/drums and should not be mixed with any other groups of clinical waste.

Groups of Clinical Waste	Type(s) of Container	Colour	Sealing
Group 1 - Used or contaminated sharps	Sharps box	YELLOW or combination of WHITE and YELLOW	Proprietary closure
Group 3 - Human and animal tissues	Heavy duty plastic bag	YELLOW	Plastic tie
	Plastic drum	YELLOW	Proprietary closure/tape
Group 2 - Laboratory waste Group 4 - Infectious materials	Heavy duty plastic bag	RED	Plastic tie
Group 5 - Dressings Group 6 - Other wastes	Plastic drum	RED	Proprietary closure/tape

Table 1: Packaging Requirements for Different Groups of Clinical Waste.

4.2.3 Specifications for Different Types of Containers

The design, materials and construction of different types of containers for clinical waste should follow the specifications set out in <u>Annex B</u>.

4.2.4 Colour-Coding of Packaging

To enable easy and unique identification of clinical waste which is essential for subsequent handling by licensed collectors and operators of licensed disposal facilities, the packaging of clinical waste should follow the colour-coding specified in Table 1.

4.2.5 Sealing of Containers

Containers of clinical waste should not be filled above the warning line indicating between 70% and 80% of their maximum volume before sealing. The packaging and sealing should be conducted with care to ensure that no clinical waste adheres to the external surface of the containers.

Sharps boxes should be properly sealed by the proprietary closure whereas plastic drums by the proprietary closure or tape as appropriate. Plastic bags should be sealed by tying the neck securely to prevent spillage. The swanneck sealing method as shown in Figure 1 is recommended.

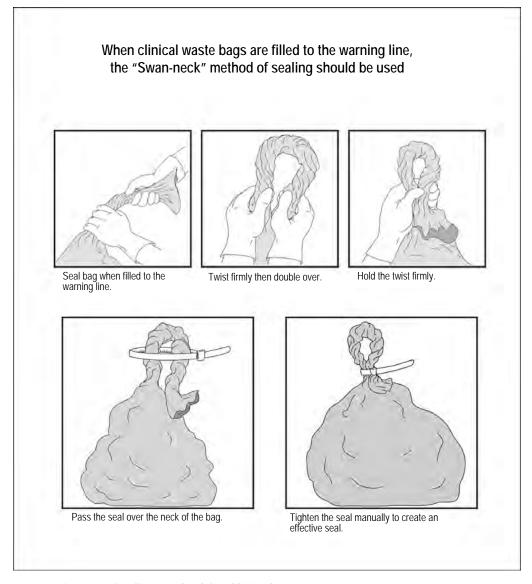


Figure 1: Sealing Method for Clinical Waste Bags.

No staple or unprotected metallic wire tie should be used for sealing or tagging of plastic bags with clinical waste, so as to prevent injury to waste handlers and damage to other bags. Metallic wire tie fully wrapped with plastic is acceptable for use in sealing plastic bags.

Plastic drums containing clinical waste with liquids should be securely sealed to prevent spillage. Absorbent materials may be added into the containers to prevent seepage of liquids as appropriate.

4.3 Labelling

Every container of clinical waste should bear a label as specified in <u>Annex C</u>. The label should be securely affixed or pre-printed on a prominent position of the container which allows the information on the label to be read easily. It is recommended to affix or pre-print a label on each of the opposite sides of the container wherever practicable.

In addition, each container should be marked using BLACK indelible ink, or a tag should be securely attached, to show the origin of the waste (i.e. the name and address of waste producer) and the date of sealing.

If a bag holder (e.g. an ordinary type rubbish bin) is used for holding the plastic bag which is in use, it should be in good condition and should follow the same labelling requirements as for the plastic bag itself. The colour of the bag holder should preferably be the same as that of the bag for easy identification.

4.4 Special Considerations for Handling Chemical Waste Arising from Medical and Dental Sources

Certain clinical waste may contain chemical residues which are classified as chemical waste. In such cases, the chemical residues should be segregated from the clinical waste at source wherever it is practicable. For example, broken thermometer containing mercury should be segregated from other clinical waste. Chemical waste arising from medical and dental sources does not fall within the definition of clinical waste (see section 3.2 of this Code).

Disposal of such waste is subject to the Waste Disposal Ordinance and the Waste Disposal (Chemical Waste) (General) Regulation.

If the chemical waste contains or is contaminated with any clinical waste, pre-treatment measures should be taken as far as practicable to render the waste non-infectious before it is collected by a licensed chemical waste collector.

5. HANDLING AND ON-SITE STORAGE OF CLINICAL WASTE

5.1 Transfer to On-Site Storage Area

5.1.1 General Requirements

Clinical waste containers after being properly sealed and labelled should be transferred from the place of waste generation to an on-site storage area for temporary storage before collection. The containers should be handled with care and should not be left unattended during the transfer of the waste.

5.1.2 Trolleys or Carts Used for the Transfer of Clinical Waste

Dedicated trolleys and carts should be used for the transfer of clinical waste on the premises. They should be designed and constructed according to the following specifications :

- The surfaces should be smooth, with no rough or sharp edges (which may damage the packaging of clinical waste);
- Impermeable materials should be used and the design should provide containment of any spillage of waste which may occur in transit;
- The trolleys or carts should be easily cleaned and drained; and
- The overall design should allow the bags and containers to be properly retained in the trolleys or carts, and to be safely loaded/unloaded and handled without difficulty.

The trolleys and carts should be cleaned at the end of each working day and thoroughly disinfected at regular intervals.

5.2 Storage of Clinical Waste

5.2.1 Provision of On-site Storage Area

Waste producers should provide suitable and adequate area for temporary onsite storage of clinical waste. The storage area should be located close to the sources of waste generation so as to minimize waste handling and to facilitate management control.

The storage area should be enclosed on at least three sides by wall, partition or fence. The enclosures should be rigidly erected and fixed to the area. An illustration of a storage area layout is shown in Figure 2. Depending on the waste generation quantity, a small lockable cupboard as shown in Figure 3 can also be used. Where possible, all clinical waste should be contained in transit skips inside the storage area.

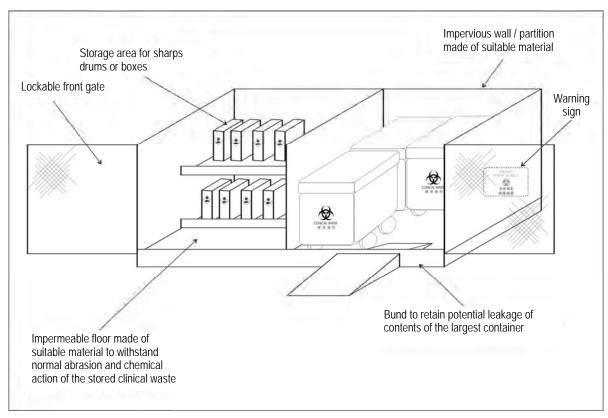


Figure 2: Schematic Drawing of a Storage Area for Clinical Waste.

5.2.2 Specifications of Storage Area

The design of storage area will depend on the quantity and types of clinical waste involved. In all cases, the storage area should be designed to meet the following requirements and specifications:

- Used for storage of clinical waste only;
- With an adequate capacity to cater for the quantity of waste produced and the frequency of waste collection;
- Exhibiting a warning sign on the external surface of the vertical structure of the area at or near its entrance. A warning sign is shown in Figure 4;

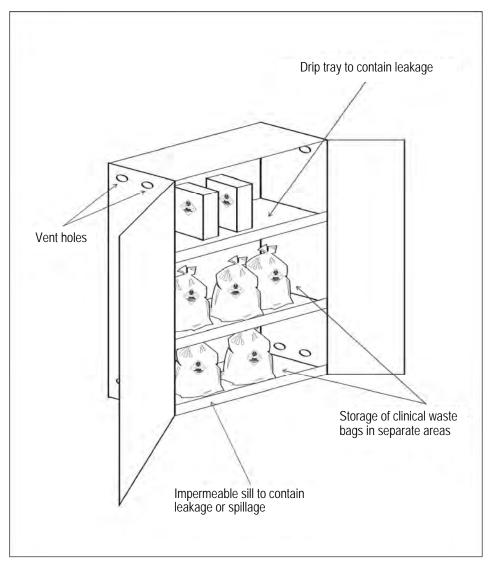


Figure 3: Schematic Drawing of a Clinical Waste Storage Cupboard.

- Protecting the integrity of the waste packaging;
- Protecting the waste containers therein from the weather (wind, rain, flooding, etc);
- With cover and lockable door to prevent access by unauthorized persons, animals, birds, and free from rodent and insect infestations;
- With adequate ventilation and lighting;
- Sited on a well-drained, impervious hard-standing area provided with wash down facilities, or as a lockable room or cupboard which can be cleaned and disinfected;



Specifications

1. Materials: Durable, weather resistant and rigid on a vertical plane

of the storage structure

2. Colours: Background Yellow

Biohazard Sign

Biohazard Sign Black Letters and Characters Black

3. Size: Plate Height ≥ 500mm

Length ≥ 600mm Height ≥ 150mm

Letters Height ≥ 40mm
Characters Height ≥ 40mm

Figure 4: Warning Sign for Storage Area.

- Installed away from any air-intake of building ventilation;
- Not adjacent to any food stores or food preparation areas; and
- Accessible to waste collection vehicles where possible.

5.2.3 Refrigeration of Clinical Waste

Clinical waste that may rapidly decompose (e.g. waste containing human or animal tissues) should be stored under refrigeration to prevent nuisance such as obnoxious odour.

5.2.4 Other Requirements

Where any clinical waste with liquids is stored, the storage area should be designed to be capable of containing any spillage of liquids. The area should be cleaned and disinfected promptly and thoroughly in case any waste spillage occurs.

Clinical waste containers should not be compacted to avoid damaging their packaging when placed in the storage area. Stacking of bagged clinical waste should also be avoided as far as possible to avoid damage to the bags.

5.3 Collection Point

Subject to the authorization granted by the Director, a waste producer may use his premises (e.g. hospital, clinic, medical laboratory) to provide temporary storage area as an "on-site collection point" for receiving clinical waste delivered by other small waste producers or delivered from other premises managed by him.

Waste producers who intend to set up on-site collection points shall obtain authorization from the Director and shall follow such terms and conditions specified in the authorization, and more details can be obtained from the Environmental Protection Department. The objective is to minimize the risks of pollution to the environment and the danger to public health that might be caused by the operation of these collection points.

Licensed collectors may also set up collection points for clinical waste if they are authorized to do so under their waste collection licences. The operation of such collection points shall meet the requirements specified in the licence.

The delivery of clinical waste from the premises of other small waste producers to the collection point shall be conducted by healthcare professionals in accordance with the requirements set out in section 6.4 of this Code. A waste producer who operates a collection point should check and confirm the professional identity of the person who delivers the waste. The waste producer shall prepare and issue a copy of the record of waste delivery to the person who delivers the waste. The waste producer shall also keep the record and produce it to the Director for inspection upon request. The record should include the following information and as required in the authorization:

- The name, address and telephone number of the person who produced or caused to produce the clinical waste;
- The name, address and telephone number of the person who delivers the clinical waste to the collection point;
- The date and time of delivery of the clinical waste;
- The quantity of the clinical waste; and
- Other particulars relating to the clinical waste as may be specified by the Director in granting the authorization.

COLLECTION AND TRANSPORTATION OF CLINICAL WASTE

6.1 Collection Service

Collection of clinical waste to a licensed disposal facility shall be conducted by licensed collectors in accordance with the requirements specified in waste collection licences and in full compliance with the Regulation.

Transit skips as specified in section 6.3.1 of this Code should be used to collect clinical waste from waste producers. Licensed collectors should check and ensure proper packaging, sealing and labelling of the waste containers with reference to the relevant requirements set out in this Code before

placing them into transit skips. Group 3 clinical waste (Human and Animal Tissues) should be put into dedicated transit skips without mixing with other groups of clinical waste. If the clinical waste has already been placed into transit skips during storage by waste producers as in the case of some hospitals, licensed collectors should ensure the transit skips are securely closed and fastened and properly labelled according to section 6.3.1 of this Code before collecting the skips.

No clinical waste should be transferred from one transit skip to another during waste collection under normal circumstances. Where the premises of certain waste producers are not accessible to the transit skips, it would be acceptable to use smaller skips to collect clinical waste from these waste producers and transfer the waste to the transit skips. Such transfer operation shall be governed by the terms and conditions of waste collection licence.

6.2 Frequency of Collection

The frequency of collection should be agreed between waste producer and licensed collector with due account of the nature and quantity of clinical waste generated. In order to minimize potential health hazards, prolonged storage of clinical waste should be avoided. Guidelines on the collection frequency for different groups of clinical waste are set out in Table 2.

Groups of Waste	Collection Frequency
Group 1 - Used and contaminated sharps	Once every 2 weeks ⁽¹⁾
Group 2 - Laboratory waste	Daily ⁽¹⁾
Group 5 - Dressings	
Group 3 - Human and animal	Daily
tissues	(At least once every 10 days if stored below 5°C; monthly if stored below 0°C)
Group 4 - Infectious materials	Daily
Group 6 - Other wastes	Collected together with other groups of clinical waste or as soon as practicable
Note (1): At places where waste is general frequency may be acceptable.	ted in very small quantity, a lower collection

Table 2: Collection Frequencies for Different Groups of Clinical Waste.

Where clinical waste is generated at very small quantity, a longer time interval between the collection trips of the waste is acceptable, upto a maximum of 1 month for Group 1 waste and a maximum of 1 week for Group 2 and 5 wastes.

6.3 Transportation of Clinical Waste

6.3.1 Transit Skips

The specification of transit skips used for the collection of clinical waste should meet the requirements of mechanical handling equipment of the collection vehicles and the licensed disposal facility. Each skip should bear words and characters of a minimum height of 40mm in BLACK stating, for clinical waste other than those requiring refrigeration, "CLINICAL WASTE" in English and "醫療廠物" in Chinese, and, for clinical waste requiring refrigeration, "CLINICAL WASTE FOR REFRIGERATION" in English and "冷藏醫療物" in Chinese, and the international bio-hazard sign as given in Annex C with a minimum height of 240mm in BLACK. Transit skips for Group 3 clinical waste should be in YELLOW colour or with a prominent label in YELLOW to facilitate identification of the waste. Each skip should bear a unique serial number which should be displayed in prominent BLACK figures and/or letters for easy identification and recording purpose.

The transit skips should meet the following requirements:

- Dedicated for the purpose of storing packaged clinical waste only;
- Provided with lids and capable of being fastened;
- Proof against spillage of the contents and infiltration of rainwater through the lid or cover:
- Proof against harbourage for insects and vermin, and accumulation of clinical waste on edges or in crevices, etc.;
- Multiple-trip type and reusable; and
- Capable of being readily disinfected or decontaminated by steam.

The transit skips should be maintained in good condition and in a clean and sanitary state. The covers of transit skips should be closed and fastened at all times except during loading or unloading of clinical waste.

6.3.2 Loading of Transit Skips

Loading and unloading of transit skips onto and from the collection vehicle should be performed with care. Staff involved should -

- be competent, suitably trained, supervised, and authorized to perform such a duty; and
- wear appropriate protective clothing such as gloves, industrial safety shoes, aprons and masks (see Annex D).

A licensed collector should ensure that the covers of all transit skips are securely closed and fastened before loading the skips onto a collection vehicle. The door of the cargo compartment of the vehicle should be securely locked at all times except during loading or unloading.

6.3.3 Collection Vehicle/Vessel

Transportation of transit skips should be undertaken only when the covers of skips are securely closed and fastened. All transit skips whether loaded or not should be secured against movement inside the collection vehicle to avoid skidding or falling during transportation.

Transportation by road should be undertaken by dedicated vehicles only in accordance with the conditions specified in the waste collection licence. Vehicles employed for the transportation of clinical waste should have the following features:

- Equipped with mechanical handling equipment to enable the transit skips to be loaded and unloaded with minimal manual effort and human contact with the skips;
- Capable of providing secure retention of transit skips when travelling, and maintaining skips in a good and sanitary condition;
- Comprise either a fully enclosed lorry having a separated cab, or a unitary vehicle in which the driver's cab is permanently separated from the cargo compartment by a sealed fixed bulkhead;

- The cargo compartment should be provided with adequate lighting and ventilation, lockable doors, spillage-proof and capable of being hygienically cleaned and disinfected;
- Equipped with a tool kit for minor repairs;
- Equipped with sufficient safety gear, decontamination and cleaning equipment and materials for dealing with spillage (e.g. personal protective clothing, spare plastic bags and sharps boxes, disinfectant, absorbent granules, brushes, mops, shovels and buckets) (see <u>Annex D</u>); and
- Equipped with suitable equipment such as mobile telephone to facilitate communication with disposal facility operator and the Environmental Protection Department.

A proper warning panel should be displayed at both the front and rear ends of the vehicle to indicate the carriage of clinical waste (details in <u>Annex E</u>).

At least one portable fire extinguisher with a minimum capacity of 2 kg of dry powder, or other suitable extinguisher with an equivalent test fire rating of at least 5A and 34B as defined in *British Standard BS EN 3-7:2004*, should be provided in the vehicle at an accessible location.

Vehicles employed to collect, remove or transport clinical waste should be -

- maintained in sound condition and roadworthy and cleaned at least once per week;
- thoroughly cleaned and disinfected immediately following any spillage inside the vehicle or when contaminated with any clinical waste;
- prohibited from carrying food or pharmaceutical products or any materials which require good sanitary conditions;
- prohibited from carrying any material other than clinical waste unless thoroughly cleaned and disinfected beforehand; and
- prohibited from transportation of any other waste, material or substance during the course of the transportation of clinical waste.

Any vehicle being used for the carriage of clinical waste should not be left unattended unless it is properly locked up and safely parked in an isolated location such as an open space separated from public roads and dwellings.

Any marine vessel used for the transportation of transit skips should be equipped with the necessary facilities to store the skips securely and enable the embarkation and disembarkation of the skips in a safe and secure manner. The marine vessel should have similar safety precaution features as for transportation vehicles subject to necessary modifications.

The transportation of clinical waste should be supervised by trained operational personnel to ensure the waste is handled safely and properly.

6.3.4 Delivery of Clinical Waste to a Licensed Disposal Facility

Clinical waste collectors shall deliver the clinical waste to a licensed disposal facility within 24 hours after collection from waste producers. Once clinical waste is loaded onto a collection vehicle, the waste should stay in the same vehicle until it is delivered to a licensed disposal facility. Transferring clinical waste from one collection vehicle to another should be avoided, unless during the transfer of clinical waste from a vessel to a vehicle (or vice versa) in the transportation of clinical waste from outlying islands, or in the event of accidents or emergencies, or otherwise authorized.

Waste collectors should liaise in advance with the operator of licensed disposal facility on the appropriate delivery schedule, waste quantity, handling procedures and other arrangements as necessary for the reception of clinical waste by the facility.

If the delivery cannot be made within 24 hours, waste collectors are required to inform the Director as soon as reasonably practicable. In such circumstance, waste collectors should maintain the waste in sanitary conditions and prevent access by the public. Group 3 waste should be refrigerated below 5°C. Waste collectors should arrange to deliver the clinical waste to a licensed disposal facility as soon as practicable and report to the Director thereafter in writing. Waste collectors should include in the report

such particulars as the quantity of the waste, the date of collection, the reason for failing to deliver the waste to a licensed disposal facility within 24 hours after collection, the particulars and manner in which such waste was stored prior to the delivery to disposal facility, and the date of delivery to disposal facility.

6.4 Delivery of Clinical Waste by Healthcare Professionals

Waste producers who are healthcare professionals² may deliver their clinical waste to a collection point or licensed disposal facility. They may ask their employees who are also healthcare professionals to deliver the waste on their behalf. A waste collection licence is not required for such delivery of clinical waste. However, the waste delivery shall be subject to the requirements specified in the Regulation, which include the following:

- They shall not carry more than 5 kg of clinical waste at any one time;
- No Group 4 waste shall be delivered;
- Group 1 waste shall be packaged in containers that are puncture-resistant, shatter-proof and leak-proof (e.g. sharps boxes);
- Other groups of clinical waste shall be packaged in containers that are made of rigid material, impervious to moisture and leak-proof, and that will not rip, tear or burst under normal conditions of handling;
- The clinical waste shall be properly packaged to prevent spillage, and the containers shall be labelled in accordance with the specifications set out in Annex C;
- Only private car³ within the meaning of the Road Traffic Ordinance (Cap. 374) shall be used as a means of transport in delivering the clinical waste; and

² Healthcare professionals include registered medical practitioners, dentists and veterinary surgeons, registered or listed Chinese medicine practitioners, and registered or enrolled nurses as defined in the Waste Disposal (Clinical Waste) (General) Regulation.

Private car means a motor vehicle constructed or adapted for use solely for the carriage of a driver and not more than 7 passengers and their personal effects but does not include an invalid carriage, motor cycle, motor tricycle or taxi.

 The clinical waste shall be delivered directly to a collection point or licensed disposal facility within 24 hours and shall not be left unattended during the delivery.

In addition, the healthcare professionals shall carry adequate and appropriate first-aid equipment for use in case of injury to any person caused by the clinical waste during the delivery. They shall also carry appropriate equipment for cleaning up spilled clinical waste (e.g. spare red bags and sharps boxes) in case of spillage. A recommended list of equipment for cleaning up spilled clinical waste is provided at Annex D. The healthcare professionals should exercise professional judgment in carrying adequate quantity of such equipment by reference to the amount of clinical waste they deliver.

7. RECORD KEEPING

7.1 Trip Ticket

For the purpose of keeping track of the waste movement in a waste consignment, licensed collectors are required to record and certify on a trip ticket the quantity of clinical waste collected from a waste producer and provide the waste producer with a copy of the trip ticket (or a receipt in-lieu of the trip ticket) for each consignment of clinical waste. A waste producer should check the information recorded on the trip ticket or receipt prior to handing over his waste to a collector and keep the trip ticket or receipt as record for the waste consignment.

The licensed collector should pass the trip ticket to the operator of a licensed disposal facility for certification upon delivery of the clinical waste and obtain a certified copy of the ticket for record.

Licensed collectors should keep copies of the trip tickets and the receipts issued, and shall produce such copies to the Director for inspection upon request as specified in their licences.

7.2 Record Keeping by Waste Producers

Waste producers shall keep a record of the clinical waste consigned to a licensed collector or delivered to a collection point or licensed disposal facility, and shall produce the record to the Director for inspection when so required. The record shall include the following information :

- The date of consignment/delivery;
- The quantity of clinical waste consigned/delivered;
- The address of the premises from which the clinical waste is delivered;
- For consignment to a licensed collector, the name of the collector; and
- For delivery to a collection point or licensed disposal facility, the name
 of the person who delivers the waste, and the name and address of the
 collection point or disposal facility.

The Director may require a waste producer to produce records for inspection to prove that he has consigned his clinical waste to a licensed collector or arranged to deliver the waste to a collection point or licensed disposal facility. Documentary evidence of proper waste consignment or delivery may include copy of trip ticket or receipt of waste consignment issued by a licensed collector, or receipt of waste delivery issued by the operator of a collection point or licensed disposal facility. Waste producers should keep such documents for 12 months from the date of consignment/delivery.

8. CLINICAL WASTE MANAGEMENT PLAN

Waste producers should develop a Clinical Waste Management Plan to set out the detailed requirements and procedures for proper handling of clinical waste for reference by the staff; and identify a responsible person for coordinating the various activities relating to clinical waste management. The responsible person should have relevant experience and appropriate training. He should have sufficient authority to carry out the task of maintaining the necessary standards of safety and good practice for clinical waste management. He should be responsible for all aspects of clinical waste management but may delegate the responsibilities for day-to-day clinical waste management to other trained staff.

The Clinical Waste Management Plan should cover the following areas:

- The statutory requirements for management of clinical waste;
- Sources and types of clinical waste generated on the premises;
- Persons responsible for each element of the clinical waste management system and chains of authority, including their names, contact addresses and telephone numbers;
- Operational and maintenance procedures covering items or equipment used for clinical waste management (e.g. containers, storage areas, transport equipment, safety equipment), and procedures for the handling and management of clinical waste;
- Training programme for all relevant staff;
- Auditing procedures for compliance monitoring;
- Procedures for dealing with emergencies due to spillage, leakage or accidents arising from the handling and storage of clinical waste; and
- Documentation and record keeping, including trip tickets or receipts from licensed collectors and staff records, e.g. training, accident records.

A sample framework for a Clinical Waste Management Plan is given in <u>Annex F.</u> Waste producers should review and update the content of the Clinical Waste Management Plan regularly.

9. TRAINING, SAFETY AND EMERGENCY RESPONSE PROCEDURES

All waste producers and licensed collectors should make the necessary arrangement and provide adequate supervision to prevent any danger or injury to their staff arising from the handling of clinical waste. They should take all such precautions as are necessary for preventing any danger to public health or safety, any pollution to the environment and any nuisance to the neighbouring area in storing, removing, collecting, receiving, delivering and transporting of clinical waste.

9.1 General Requirements

Responsible personnel for the management of clinical waste and frontline staff involved in handling clinical waste should all receive proper training.

Direct handling of clinical waste containers should be minimized as far as possible through provision of bins on wheels, trolleys or carts, transit skips, etc.

Regular inspection of clinical waste storage area (and its access) should be conducted to ensure that it is free from obstruction and is kept dry and clean.

No person should be allowed to eat, drink or smoke during the handling of clinical waste. Warning signs indicating "NO SMOKING, NO EATING AND DRINKING" should be posted at all transportation vehicles and storage area.

Safety and health requirements under other relevant ordinances (e.g. Occupational Safety and Health Ordinance) and regulations should be observed.

9.2 Safety Equipment and Training

Employers should ensure that all employees involved in handling clinical waste are provided with adequate safety information, protective equipment and training.

All staff who may be required to handle or transfer clinical waste should be trained to -

- follow safety procedures and wear appropriate personal safety and protective gear before handling clinical waste (see <u>Annex D</u>);
- identify different types of clinical waste and know their packaging and handling requirements;
- seal different types of waste containers;

- label different types of waste containers;
- handle plastic bags by the neck only;
- avoid damaging the packaging;
- handle accidental spillage and leakage of clinical waste;
- check that waste containers and their seals are not broken or damaged after movement;
- know the precautions in dealing with special types of clinical waste (e.g. sharps, infectious waste); and
- observe personal hygiene practices, e.g. wash hands thoroughly after handling clinical waste.

9.3 Emergency Procedures

Employers should establish procedures for handling emergencies involving spillage or leakage of clinical waste and make available the procedures to their staff for reference.

In the event of emergencies involving spillage or leakage of clinical waste, the spillage or leakage should be stopped as soon as practicable and the spilled or leaked waste cleaned up promptly. The affected area should be properly cleaned and disinfected. Absorbent materials, disinfection chemicals, protective clothing, masks, eye protection, gloves should be used as appropriate in the clean-up and disinfection operations (see <u>Annex D</u>).

All materials arising from the clean-up of spilled or leaked clinical waste should be disposed of as clinical waste and should be properly packaged and labelled before disposal.

All spillage or leakage incidents should be recorded and reported to the responsible person according to the established procedures. Follow-up investigations of the incidents should be conducted so that improvement measures can be taken to avoid recurrence of similar incidents in future.

List of Clinical Waste Producers

Major clinical waste producers:

- Public hospitals, clinics and institutions managed by the Hospital Authority;
- Private hospitals and maternity homes defined under the Hospitals, Nursing Homes and Maternity Homes Registration Ordinance (Cap. 165);
- The Prince Philip Dental Hospital; and
- Government clinics and medical laboratories (whether they are managed by the Department of Health or other Government departments).

Small clinical waste producers:

- Private medical clinics/practices;
- Private dental clinics/practices;
- Private dental, medical, veterinary or pathological laboratories;
- Private Chinese medicine clinics/practices;
- Residential care homes for the elderly;
- Universities with medical teaching or research (including Chinese medicine);
- Pharmaceutical companies with medical research;
- Private veterinary clinics/practices;
- Nursing homes;
- Health and beauty centres where medical practices are conducted; and
- Other relevant organizations.

Specifications for Different Types of Containers for Clinical Waste

1. Sharps box

- Conform with *British Standard BS 7320:1990* in respect of resistance to penetration and resistance to leakage after vertical dropping and toppling or similar specification for sharps containers;
- Capable of being sealed;
- Provided with a handle that is not part of the closure device, wherever practicable;
- Combustible and capable of being safely incinerated, and should not be made from polyvinylchloride (PVC);
- Legibly marked with a horizontal line to indicate when the sharps box is filled to between 70% and 80% of its maximum volume, together with the words "WARNING - DO NOT FILL ABOVE THE LINE", wherever practicable;
- Coloured in yellow or combination of white and yellow; and
- Capable of being marked by indelible ink and securely attached by labels.

2. Heavy duty plastic bag

- With a maximum nominal capacity of 0.1 m³;
- Of minimum gauge of 150 microns if made from low density polyethylene, or 75 microns if made from high density polyethylene or polypropylene, and should not be made from polyvinylchloride (PVC);
- Of suitable size and shape to fit the holder which supports the bag in use;
- Legibly marked with a horizontal line to indicate when the bag is filled to between 70% and 80% of its maximum volume, together with the words "WARNING - DO NOT FILL ABOVE THE LINE";
- Coloured in red (clinical waste other than Group 1 or 3 wastes) or yellow (for Group 3 waste); and
- Capable of being marked by indelible ink and securely attached by labels.

3. Plastic Drum

- Capable of being sealed;
- Proof against spillage of their contents;
- Combustible and capable of being safely incinerated, and should not be made from polyvinylchloride (PVC);
- Legibly marked with a horizontal line to indicate when the drum is filled to between 70% and 80% of its maximum volume, together with the words "WARNING - DO NOT FILL ABOVE THE LINE";
- Coloured in red (clinical waste other than Group 1 or 3 wastes) or yellow (Group 3 waste); and
- Capable of being marked by indelible ink and securely attached by labels.

Labelling of Clinical Waste Containers

Each container shall bear on the outside of the container a label of such dimensions as are specified in Part 1 below, and the label shall contain the symbol specified in Part 2 below.

PART 1 DIMENSIONS OF LABEL

Type of container Dimensions of label Sharps container of a capacity of less not less than 40 mm x 40 mm than 2 Litres Sharps container of a capacity of 2 Litres or more Container other than sharps container Dimensions of label not less than 40 mm x 40 mm x 40 mm not less than 75 mm x 75 mm



Specifications of the symbol:

1. The colours of the symbol shall be as follows:

Border - black

Background - white or primary colour of the container

Words and characters - black

International Biohazard sign - black

2. The International biohazard sign appearing in the symbol shall have a minimum height as follows:

Type of container	Minimum height
Sharps container of a capacity of less than 2 Litres	16 mm
Sharps container of a capacity of 2 Litres or more	30 mm
Container other than sharps container	60 mm

3. Each of the English words appearing in the symbol shall have a minimum height as follows:

Type of container	Minimum height
Sharps container of a capacity of less than 2 Litres	3 mm
Sharps container of a capacity of 2 Litres or more	5 mm
Container other than sharps container	10 mm

4. Each of the Chinese characters appearing in the symbol shall have a minimum height as follows:

Type of container	Minimum height
Sharps container of a capacity of less than 2 Litres	4 mm
Sharps container of a capacity of 2 Litres or more	7 mm
Container other than sharps container	15 mm

<u>Safety Equipment and General Precautions</u> for Clinical Waste and Spillage Handling

1. Personal Safety and Protective Gear

Disposable gloves and aprons
Heavy duty gloves
Safety glasses or goggles
Industrial aprons
Masks
Protective clothing
Safety shoes or boots
Eye-wash bottles or devices
First aid kits

2. Equipment

Fire extinguishers
Absorbent materials such as vermiculite or sawdust
Disinfectant
Plastic bags, drums and sharps boxes
Paper tissues and towelling
Dustpans and brushes
Mops, shovels and buckets
Scoops
Tweezers or forceps

3. General Precautions

Disposable gloves and apron should be worn to minimize the risk of skin contamination when clearing up body fluids. In certain circumstances, face visors may be necessary to protect employees from potential splashing.

Heavy duty gloves and industrial apron should be worn when handling clinical waste containers. Sharps boxes should be picked up and carried by the handle only, if available.

Safety shoes or boots should be worn to protect against the dropping of waste containers. The soles of such shoes or boots should be made of such material to offer protection against slippery and piercing through by any sharps on floor.

In case there is an injury inflicted during the handling of clinical waste, the person involved should seek medical advice and treatment or attend the emergency unit of a hospital as appropriate.

<u>Specifications for the Hazard Warning Panel</u> for Clinical Waste Collection Vehicle

One of the two versions (Version A and Version B) of the hazard warning panel as shown in the following figure should be displayed at the front and rear of the clinical waste collection vehicle in a position that does not conceal any lights, licence plates or other legally required signs or markings. Both versions are acceptable, and the choice is mainly governed by the space available for the sign.

Specifications:

Material : Aluminium plate (1-2 mm thick)

Finish : Reflective background

• Colour : Border - Black

Background - Yellow

Words and Characters - Black

• Size : Words and Characters ≥ 40 mm in height

Plate (Version A): Height \geq 200 mm; Width \geq 750 mm Plate (Version B): Height \geq 340 mm; Width \geq 500 mm

Version A

CAUTION: CLINICAL WASTE

小心 醫療廢物

Version B

CAUTION: CLINICAL WASTE

> 小 心 醫療廢物

Annex F

<u>Sample Framework for</u> a Clinical Waste Management Plan

1 1.1 1.2	STAFF RESPONSIBILITIES UNDER THE LAW Statutory Requirements Responsible Personnel in the Clinical Waste Management System
2 2.1 2.2 2.3	DEFINITION OF CLINICAL WASTE Clinical Waste Non-clinical Waste Others
3 3.1 3.2 3.3	SEGREGATION PRACTICES Identification of Clinical Waste Sources Types of Clinical Waste and Segregation Arrangement Clinical Waste vs Non-clinical Waste
4 4.1 4.2 4.3	PACKAGING Bags and Bag-holders Sharps Boxes Plastic Drums
5 5.1 5.2	SEALING AND LABELLING Sealing Labelling
6 6.1 6.2	INTERNAL COLLECTION SYSTEM Collection Method Collection Frequency
7	HANDLING OF CLINICAL WASTE
8	STORAGE OF CLINICAL WASTE PRIOR TO DISPOSAL
9 9.1 9.2	SAFETY AND TRAINING PROGRAMME FOR STAFF Staff training programme and records Personal Protection and Safety equipment
10 10.1 10.2	ADMINISTRATIVE PROCEDURES AND RECORD KEEPING Auditing Procedures for Compliance Monitoring Record of Waste Generation and Collection
11 11.1 11.2 11.3 11.4	EMERGENCY PROCEDURES Spillage Fire Personal Injury Record of Incident and Investigation