



Upgrades of EMFAC-HK

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Acknowledgement



- Eastern Research Group, Inc.
- My colleagues,
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Vehicle Classification Chart

| HK 1.2 Sub-Model | Vehicle Class Index | | Vehicle Class Description | | Fuels | |
|------------------|---------------------|--------|---|-------------------------------|----------------|---------|
| | HK 1.2 | HK 2.1 | HK 1.2 | HK 2.1 | HK 1.2 | HK 2.1* |
| MC | 1 | 1 | Petrol Private Cars (PC) & Light Goods Vehicles (LGV) | Private Cars (PC) | Petrol | ALL |
| Taxi | 3 | 3 | Taxi | | LPG =Petrol | ALL |
| MC | 3 | 4 | Diesel Private Cars & Light Goods Vehicles (<=2.5t) | Light Goods Vehicles (<=2.5t) | Diesel | ALL |
| MC | 4 | 5 | Light Goods Vehicles (2.5-3.5t) | | Diesel | ALL |
| MC | 6 | 6 | Light Goods Vehicles (3.5-5.5t) | | Diesel | ALL |
| MC | 7 | 7 | Medium & Heavy Goods Vehicles (5.5-15t) | | Petrol, Diesel | ALL |
| MC | 8 | 8 | Medium & Heavy Goods Vehicles (>=15t) | | Petrol, Diesel | ALL |

Vehicle Classification Chart (Con't)

| HK 1.2 Sub- Model | Vehicle Class Index | | Vehicle Class Description | | Fuels | |
|-------------------------|------------------------|-----------|---------------------------------|--------|-----------------------|------------|
| | HK 1.2 | HK 2.1 | HK 1.2 | HK 2.1 | HK 1.2 | HK 2.1* |
| MC | 5 | 11 | Public Light Buses | | LPG=petrol, Diesel | ALL |
| Taxi | 4 | 12 | Private Light Buses (<=3.5t) | | Petrol, Diesel | ALL |
| Taxi | 5 | 13 | Private Light Buses (>3.5t) | | LPG=Petrol, Diesel | ALL |
| Taxi | 6 | 14 | Non-franchised Buses (<6.4t) | | Petrol, Diesel | ALL |
| Taxi | 7 | 15 | Non-franchised Buses (6.4-15t) | | Petrol, Diesel | ALL |
| Taxi | 8 | 16 | Non-franchised Buses (>15t) | | Petrol, Diesel | ALL |
| Taxi | 10 | 17 | Single Deck Franchised Buses | | Petrol, Diesel | ALL |
| MC | 10 | 18 | Double Deck Franchised Buses | | Petrol, Diesel | ALL |
| MC | 11 | 19 | Motor Cycles | | Petrol, Diesel | ALL |

Comparison of EMFAC-HK V2.1 & V1.2

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|--|
| Basic Model: EMFAC2002 | Basic Model: EMFAC2009 |
| Vehicle Emission standards: Up to Euro IV for light duty vehicles, and Euro V for heavy duty vehicles | Vehicle Emission Standards: Up to Euro VI |
| Vehicle Fuel Standards: Euro V up to 2011 and 15 ppm S beyond (using US fuel property) | Vehicle Fuel Standards: Euro V |
| | Bug fixing |

EMFAC2007 - Summary of Changes (California ARB, 2006)

| Change | Statewide Changes For 2015 calendar year |
|-------------|--|
| 0 | Baseline - EMFAC2002 ver2.2 |
| 1,4,7 | ● Fuel Correction Factors – <i>Phase 3 and low sulfur</i> |
| 2 | ● I&M Updates – <i>Change of ownership, new enhanced areas, etc.,</i> |
| 4 | ● Brakewear |
| 5 | ● Accrual Rates |
| 8 | ● VMT Matching by Fuel Type |
| 10,23,31 | ● Populations Updates - <i>Three changes</i> |
| 11 | ● Redistribution of heavy heavy-duty diesel vehicle populations |
| 15,21,24,32 | ● VMT Updates |
| 16,25,33 | ● Corresponding changes to speed distribution files |
| 34 | ● Growth Rates – <i>Revised growth rates</i> |
| 13,30 | ● Ethanol permeation - <i>from fuel sold beginning 2004 calendar year</i> |
| 14,29 | ● Updated Heavy-Heavy-Duty Diesel exhaust rates, idle (low & high), and speed corrections |
| 18 | ● Temperatures – <i>new summer profiles corresponding to the federal 8-hour Ozone standard</i> |
| 19 | ● Relative Humidities – <i>new summer relative humidity profiles corresponding to the federal 8-hour Ozone standard</i> |
| 3,12,22 | ● Bug Fixes, Regime Specific Evap Calc, Corrected HDV Gas Cap |
| | ● Other Changes |

11/15/2006



EMFAC 2007 (add'l remarks)

- Extensive code restructuring/modifications
 - Reorganized directory structure
 - Use of data types to store and track scenario data
 - Change of input file format
- GUI Updates



EMFAC 2009 Changes

- Algorithmic Changes for Heavy-Duty Diesel Trucks
- Expand Vehicle Classes
- Extended Idle for heavy-duty diesel
 - not use in current version of EMFAC-HK
- Retrofit corrections

Baseline Model Selection

EMFAC2009 Version 2.50.8

- Ensures EMFAC2009 features are incorporated into EMFAC-HK, including
 - latest correction factors;
 - More user-friendly formatting of input files;
 - Updated coding language;
 - Incorporates bug repairs for EMFAC2002 and EMFAC2007

HK Stds & Implementation Dates

| HK Imple. Dates | | Pre - Euro | | | Euro I | | Euro II | | |
|---|---------------|-------------|--------|-------------|---------|--------|---------|---------|--------|
| Vehicle Class | | Pre - ULP | ULP | Diesel | Petrol | Diesel | LPG | Petrol | Diesel |
| Private Car | | < 1.1.92 | 1.1.92 | < 1.4.95 | 1.4.95 | NA | NA | 1.4.97 | 1.4.98 |
| Goods Vehicle | <= 2.5 t | 1.1.92 | NA | | | | | 1.10.98 | |
| | 2.5 t - 3.5 t | < 1.4.95 | | | | | | | |
| Light Bus | <= 3.5 t | < 1.4.95 | | | | | | | |
| | > 3.5 t | | | | | | | | |
| Goods Vehicle & Other Bus > 3.5 t | | | | | | 1.4.97 | | | |
| Taxi | | < 1.1.92 | 1.1.92 | < 1.1.96 | 1.4.95 | 1.1.96 | 1.8.01 | 1.10.98 | 1.7.99 |
| Motorcycle | | < 1.10.99 | | | 1.10.99 | | NA | | |

HK Stds & Implementation Dates

| HK Imple. Dates | | Euro III | | | Euro IV | | |
|---|-----------------|----------|---------|-----------------|---------|---------|--------|
| Vehicle Class | | LPG | Petrol | Diesel | LPG | Petrol | Diesel |
| Private Car | | NA | 1.1.01 | | NA | 1.1.06 | |
| Goods Vehicle | <= 2.5 t | | 1.1.02 | | | 1.1.07 | |
| | > 2.5 t - 3.5 t | | | | | | |
| Light Buses | <= 3.5 t | 1.8.03 | 1.1.02 | | 1.1.07 | | |
| | > 3.5 t | | 1.10.01 | 1.8.03 | 1.10.06 | | |
| Goods Vehicle & Other Bus > 3.5 t | | NA | 1.10.01 | | NA | 1.10.06 | |
| Taxi | | 1.8.03 | 1.1.01 | NA (fr. 1.8.01) | 1.1.06 | | NA |
| Motorcycle | | 1.1.07 | | | NA | | |

Proposed HK Stds & Implementation Dates

| HK Imple. Dates | | Euro V | | | Euro VI | | | |
|--------------------------------------|----------------------|--------|--------|----------|---------|--------|--------|--|
| Vehicle Class | | LPG | Petrol | Diesel | LPG | Petrol | Diesel | |
| Private Car | | NA | 1.6.12 | | NA | 1.9.15 | | |
| Goods Veh ≤3.5 t | ≤ 1.305 t | | 1.6.12 | 31.12.12 | | NA | 1.9.16 | |
| | > 1.305 t - 3.5 t | | | | | | 1.9.16 | |
| Light Bus | ≤ 1.305 t | 1.6.12 | | | 1.9.15 | | | |
| | >1.305 t-3.5 t | | | | 1.9.16 | | | |
| | > 3.5 t | | | | 2016 | | | |
| Goods Vehicle & Other Bus > 3.5 t | | NA | 1.6.12 | | NA | 2016 | | |
| Taxi | | 1.6.12 | | NA | 1.9.15 | | NA | |
| Motorcycle | | NA | | | | | | |

Technology Group Indexes

Diesel Heavy Goods Vehicles with GVW of 5.5-15 t (HGV7)

| HK Standard | Version 1.2 Technology Group Index | Version 2.1 Technology Group Index |
|-------------------|---------------------------------------|---------------------------------------|
| pre-Euro | 123 | |
| pre-Euro with DOC | 124 | |
| Euro I | 125 | |
| Euro II | 126 | |
| Euro III | 129 | |
| Euro IV | 130 | |
| Euro V | 131 | |
| Euro VI | NA | 135 |

Diesel Non-franchised Buses with GVW of 6.4-15 t except Franchised Buses (NFB7)

| HK Standard | Version 1.2 Technology Group Index | Version 2.1 Technology Group Index |
|-------------------|---------------------------------------|---------------------------------------|
| pre-Euro | 123 | 43 |
| pre-Euro with DOC | 124 | 44 |
| Euro I | 125 | 45 |
| Euro II | 126 | 46 |
| Euro III | 129 | 99 |
| Euro IV | 130 | 100 |
| Euro V | 131 | 101 |
| Euro VI | NA | 105 |

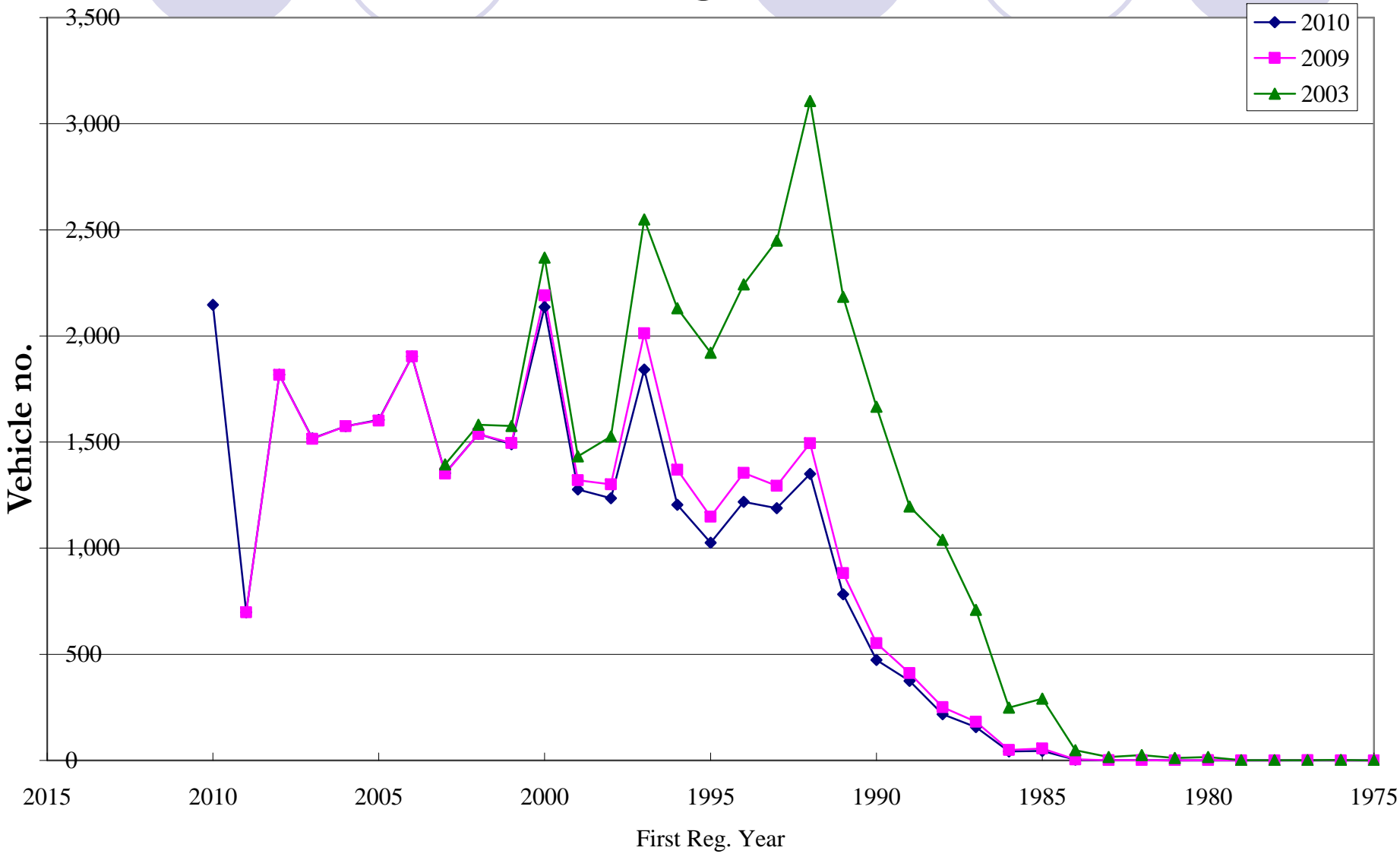
Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|--|
| 2003 vehicle population distribution | 2010 vehicle population distribution |
| 2003 franchised bus fleet from bus companies | 2010 franchised bus fleet from bus companies |
| No government vehicle fleet | 2010 government vehicle fleet |
| Assumed no growth rates for vehicle fleet | <ul style="list-style-type: none">- Increase in private & goods vehicles according to Strategic Highway Project Review 2009;- Franchised buses, public light buses and taxis whose maximum numbers are fixed by TD, so assume no growth rates;- Since the average annual growth rates from 2004-08 for non-franchised buses and private light buses are about zero, assume future growth rates to be zero. |

Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|--|
| We discouraged the user to use forecast function in EMFAC. We suggested the user to use the same vehicle population distribution for future scenario years as those provided in EPD's website or to ask TD for inputs. | A forecast function for vehicle population distribution has been included in EMFAC's methodology and 2004-08 vehicle population distributions except LPG taxis and LPG PLB using 2005-08 data. |
| We discouraged the user to use backcast function in EMFAC. | We have modified the backcast function, which has a much better performance. As such, the user may adopt this function should he/she sees it appropriate. |
| 2003 mileage and age relationship from only one local repair workshop | 2010 mileage and age relationship from EPD's own surveys |

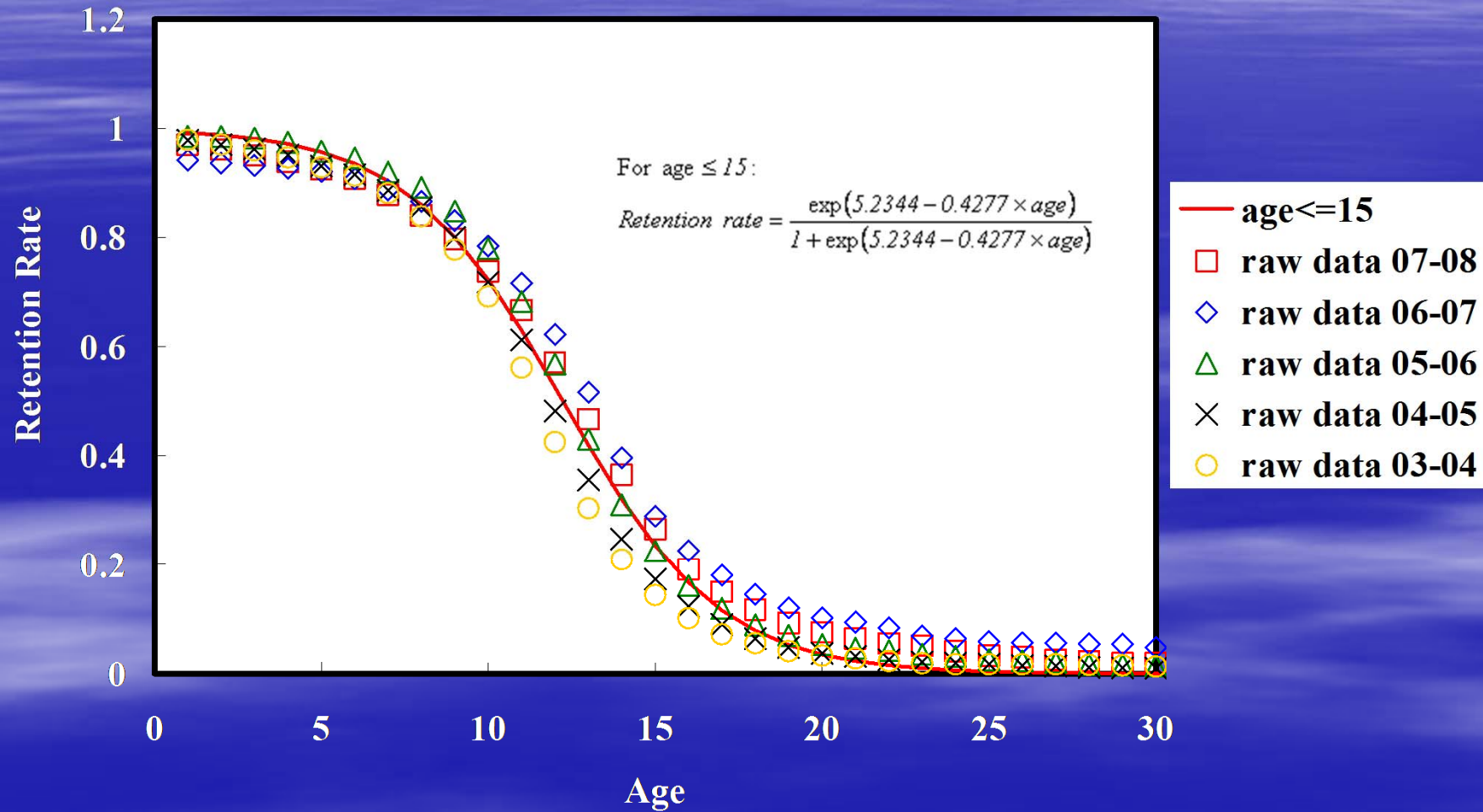
Distribution of Goods Vehicles > 15 t Population vs. 1st Reg. Year



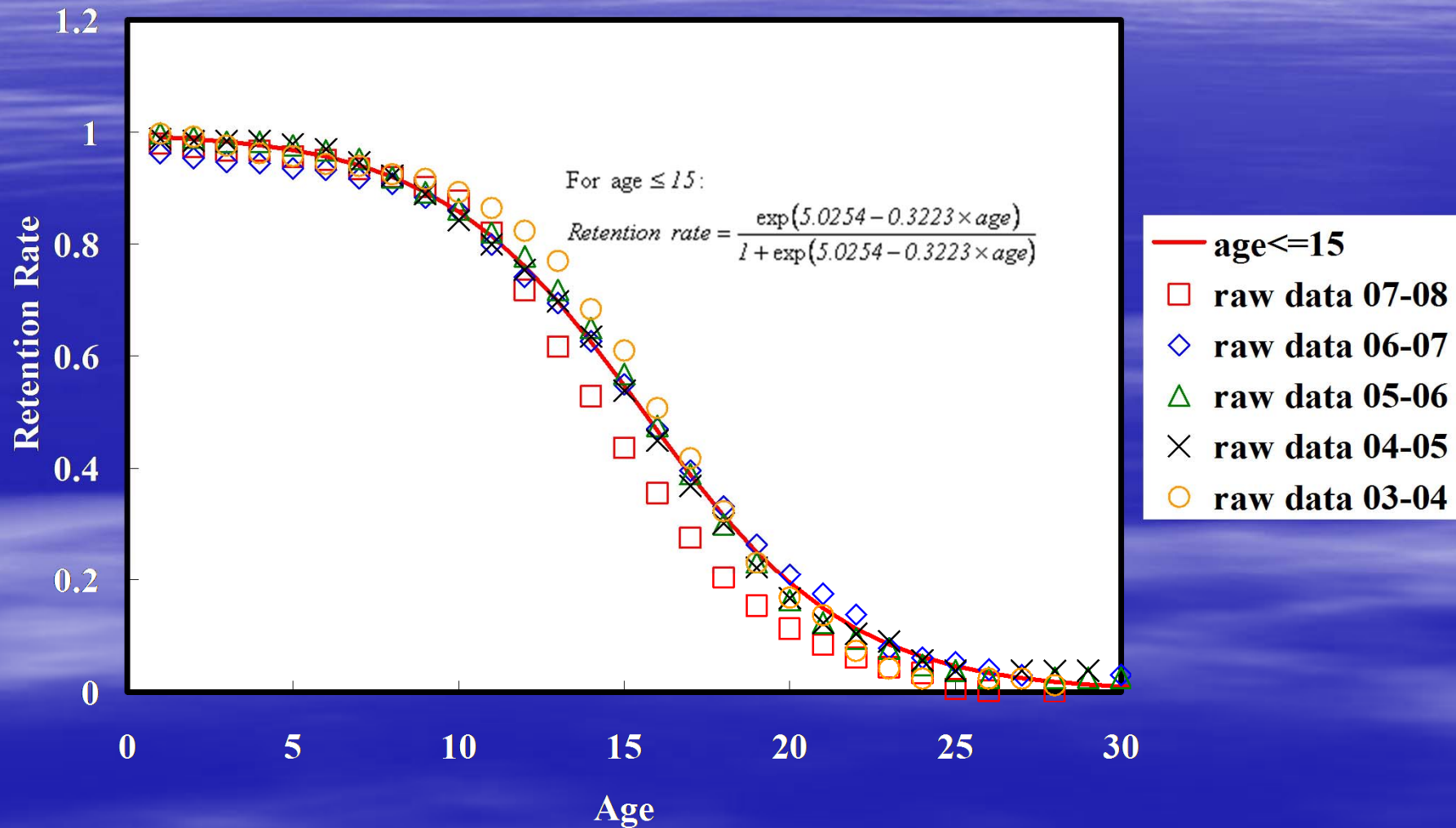
Forecast of Vehicle Population

- EMFAC uses the population of a specific model of vehicles for consecutive calendar years to derive a variation curve of the percentage of vehicles sold still remain in the fleet after a specified amount of time has elapsed – retention rate. The curve is then used to forecast vehicle population.
- Retention rates is used in EMFAC for both forecasting to future calendar years and back-casting for those years where vehicle registration information is unavailable.

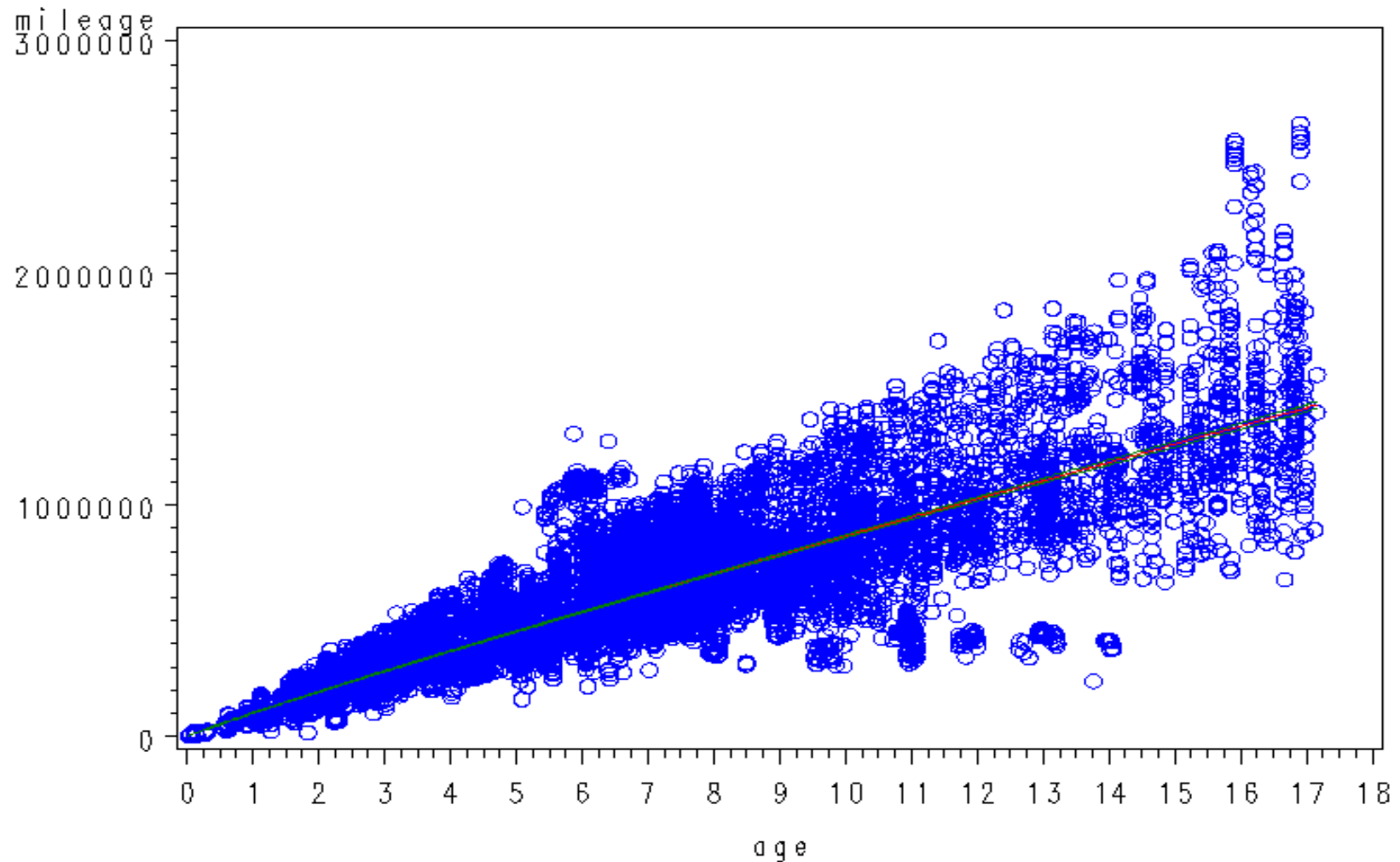
Retention Rates for Private Cars



Retention Rates for Heavy-duty Goods Vehicles



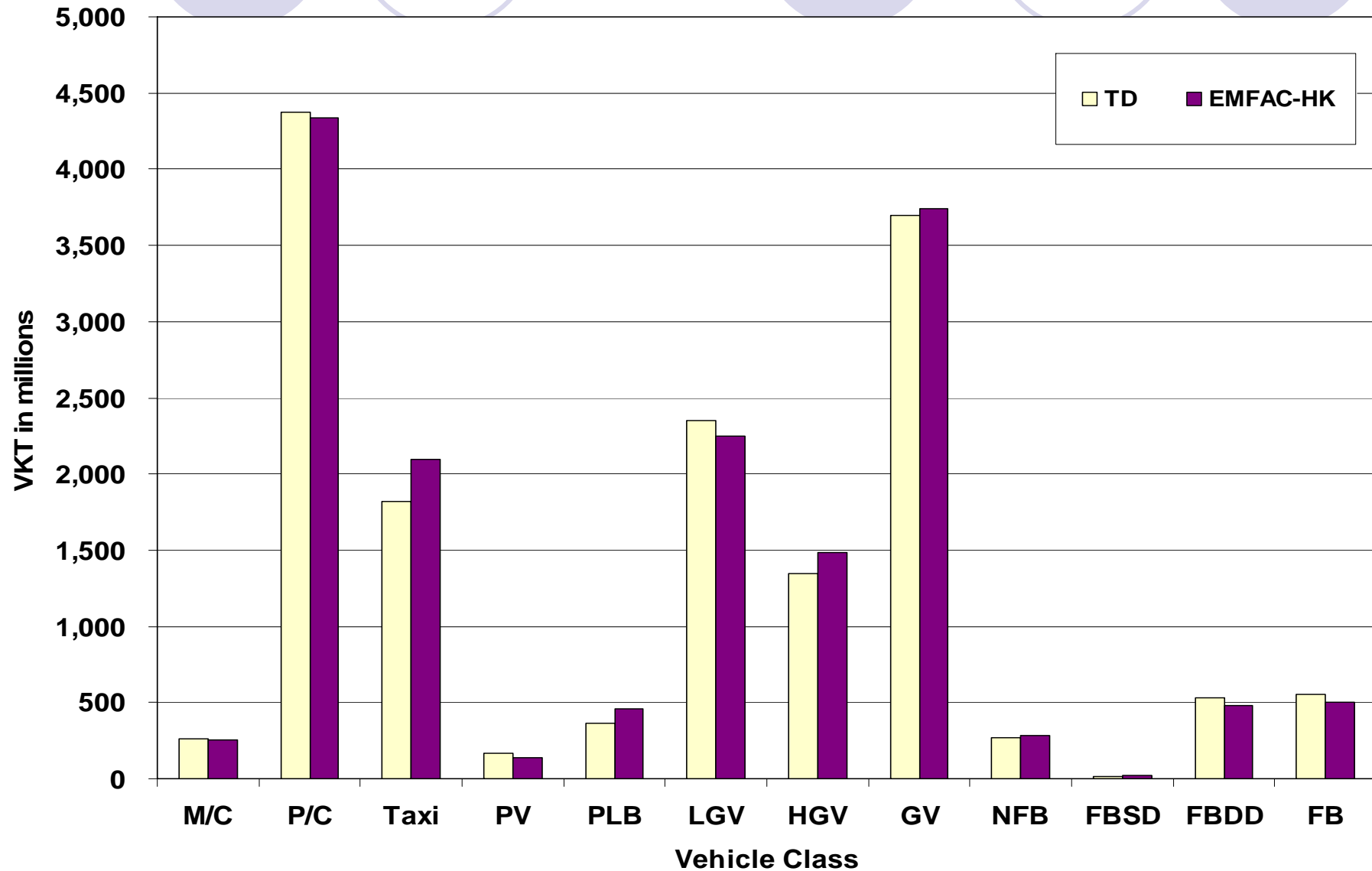
Distribution of Odometer Reading vs. Age for Franchised Buses (2006 Survey)



Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|---|
| 2003 vehicle kilometer travelled (VKT) from TD | 2010 VKT from TD |
| 2003 survey on vehicle classification on about 95 road segments from TD (from 7 a.m. to 11 p.m.; for the remaining hours, it was assumed to be the same as those at 11 p.m.) | 2010 survey on vehicle classification on 100 road segments from TD; local surveys conducted in 2004-07 & 2010 on vehicle classifications to supplement TD's data (from 11 p.m. to 7 a.m.) and 65 additional road segments |
| 2003 speed limits from HyD | 2010 speed limits from TD |
| 2003 speed surveys from TD | 2010 speed surveys from TD |

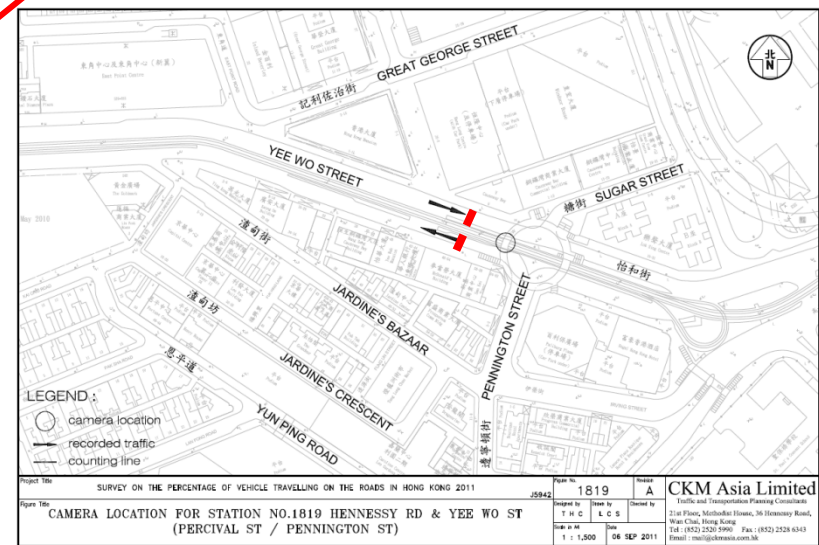
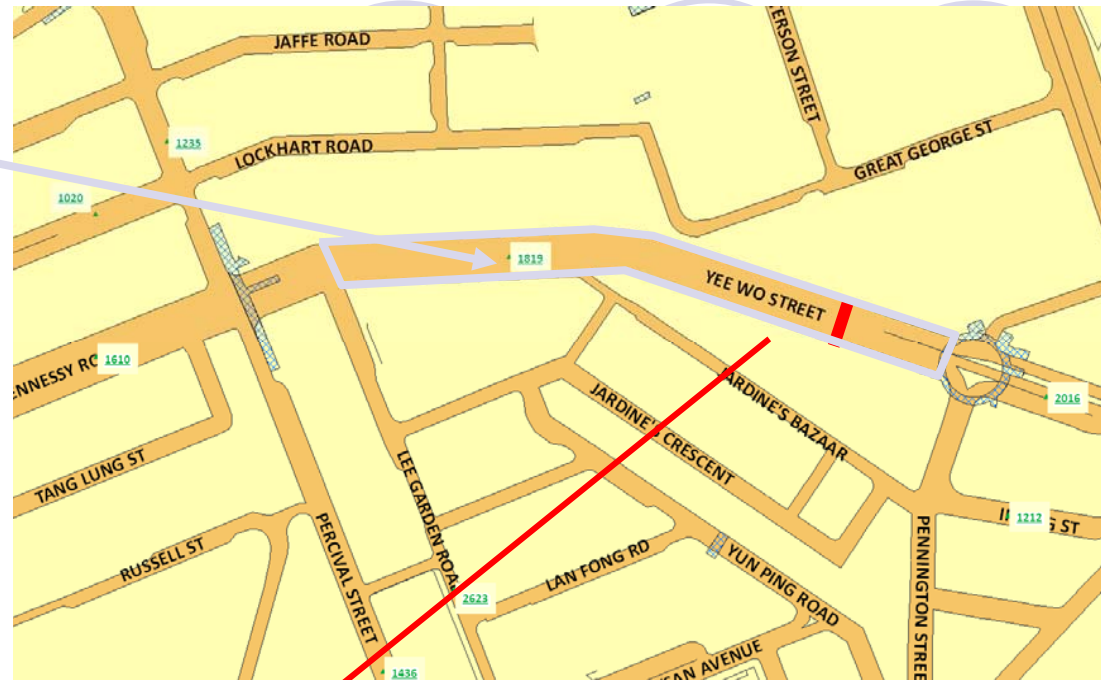
Comparison of VKT in 2001



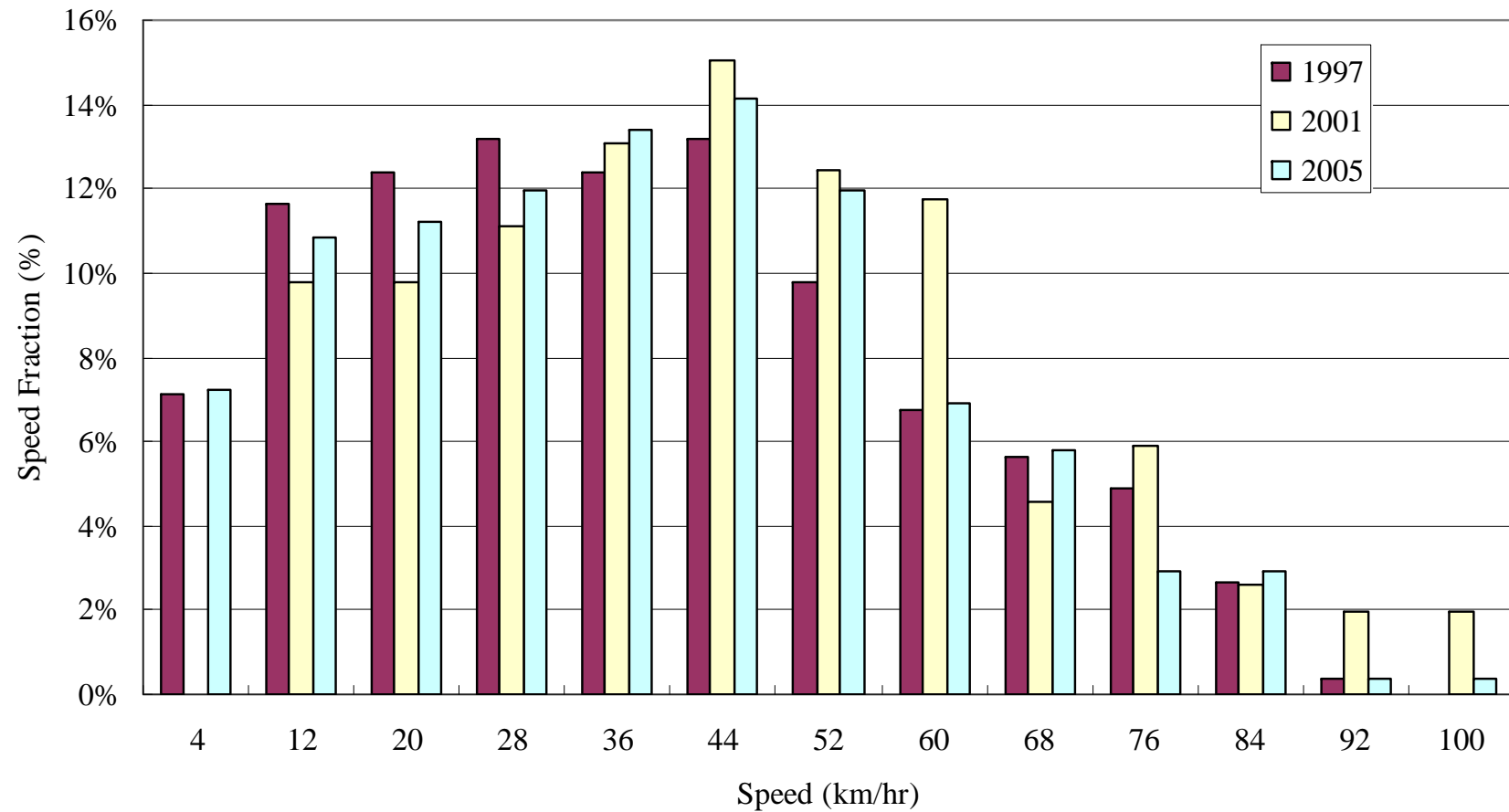
Traffic Counting Station locating on one of our proposed Low Emission Zones

(Yee Wo Street, Causeway Bay)

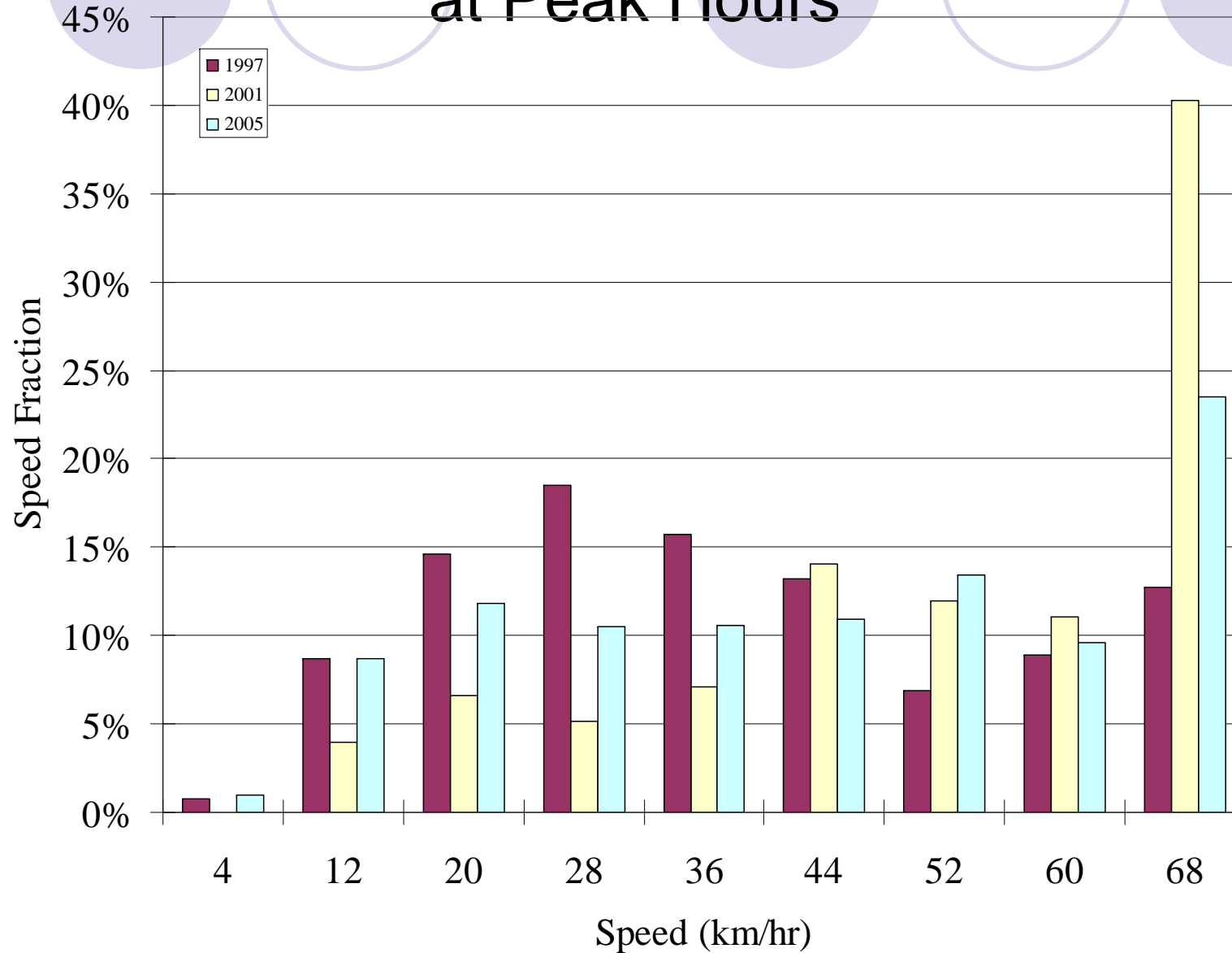
-Traffic flow before the implementation of low emission zone can then be monitored and evaluated.



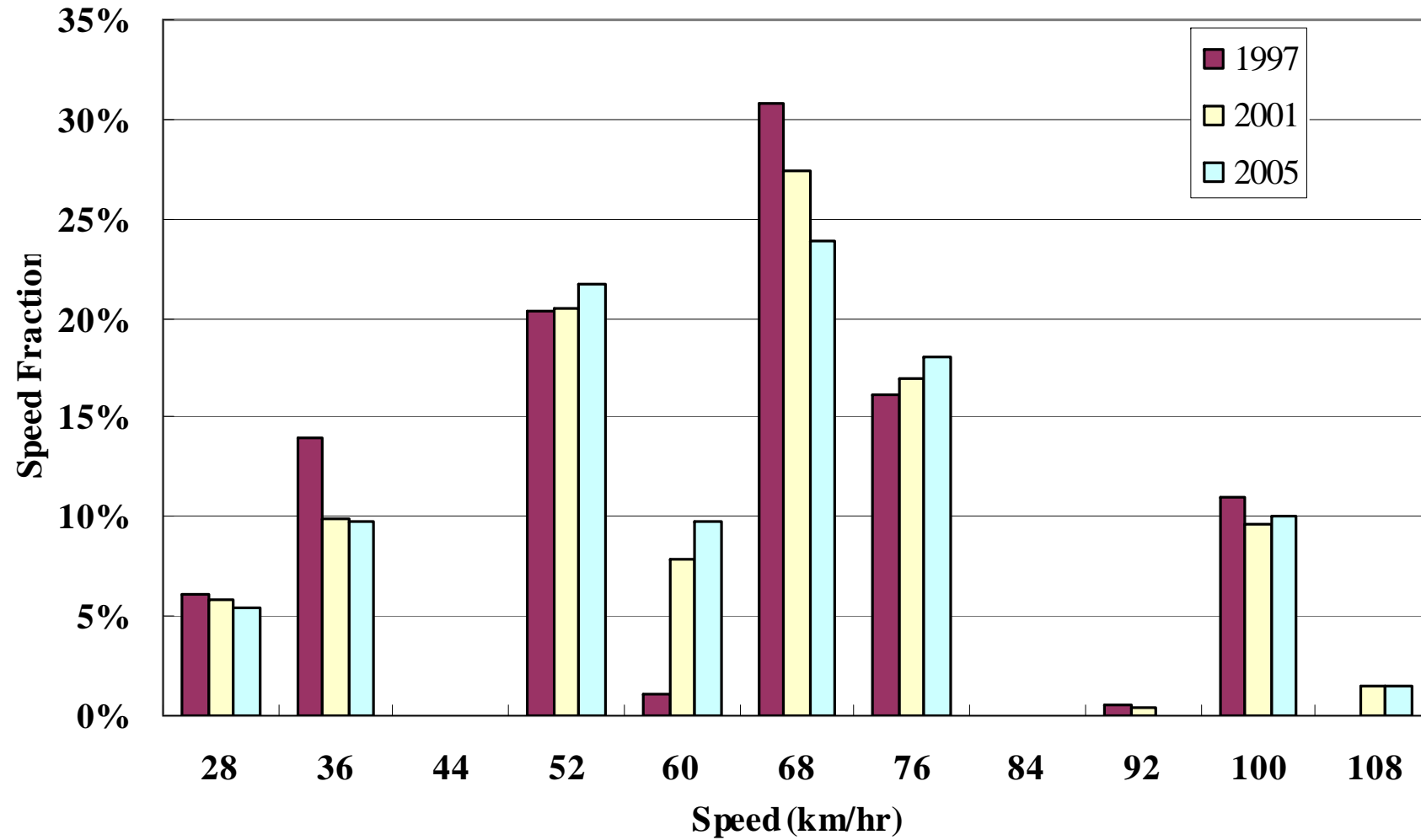
Speed Fractions for Private Cars at Peak Hours



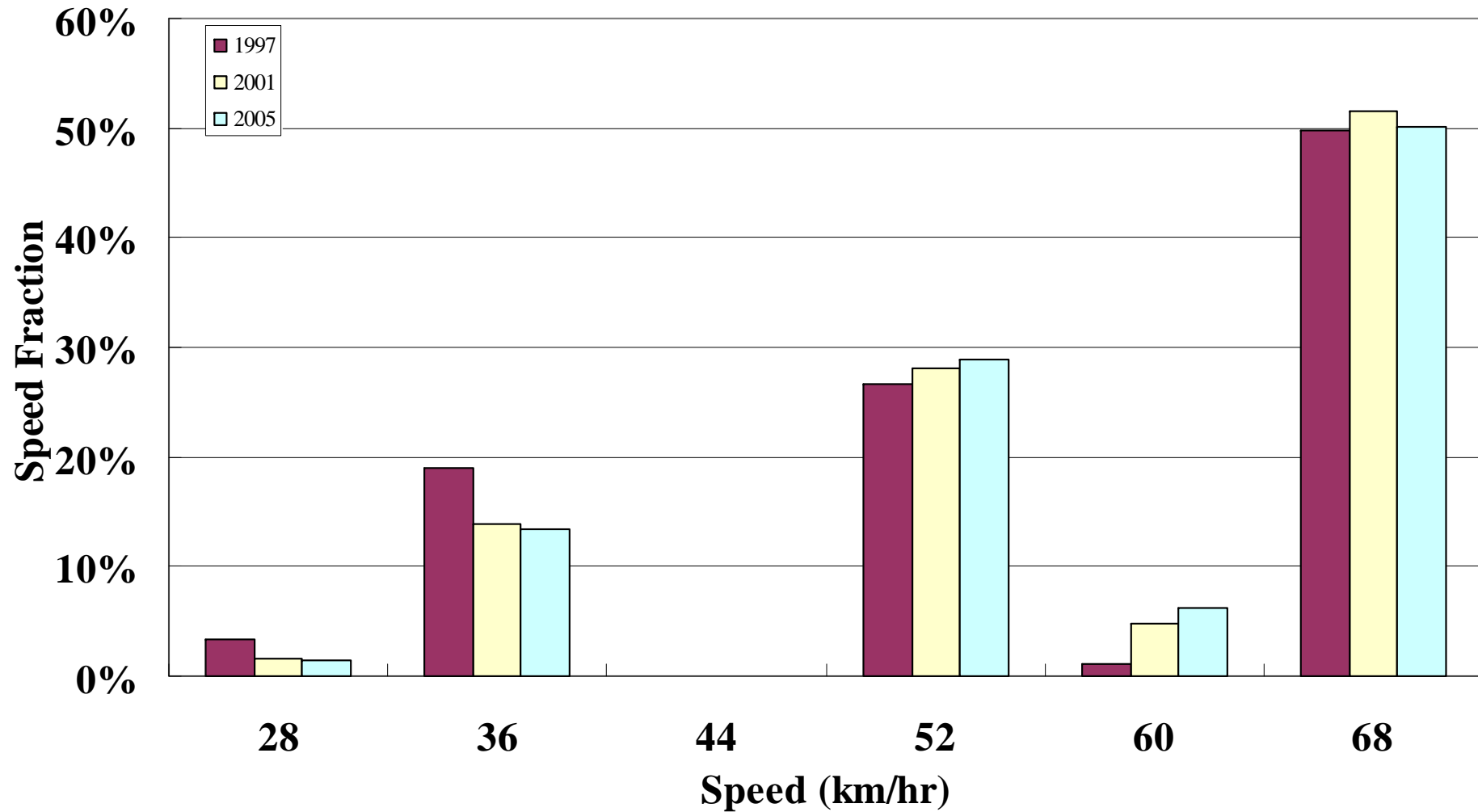
Speed Fractions for Franchised Buses at Peak Hours



Speed Fractions for Petrol Cars at Daytime non-peak Hours



Speed Fractions for Franchised Buses at Daytime non-peak Hours



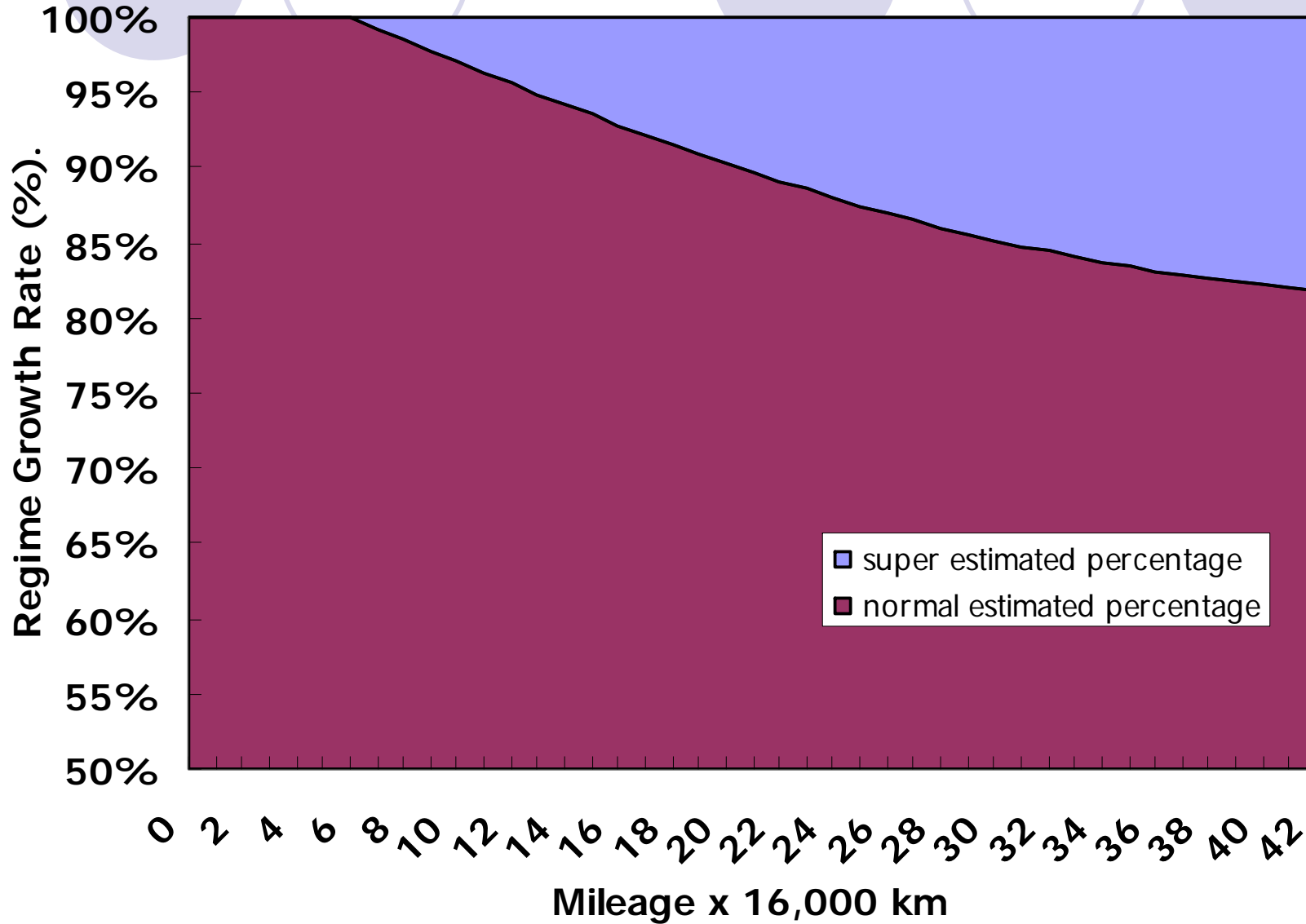
Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|--|
| 2003 smoky vehicle data for PM super emitters | 2010 smoky vehicle data for PM super emitters except for diesel public light buses where 2004 smoky vehicle data were used |
| 2003 ambient temperature & relative humidity from HKO | 2010 ambient temperature & relative humidity from HKO |
| 2001 Reid vapour pressures (RVP) from the oil companies. | 2010 Reid vapour pressures (RVP) from the oil companies. |
| No estimates for evaporative emissions | 2010 evaporative emission of petrol vehicles from EPD's own surveys |
| 2001 fuel properties from EPD's fuel analysis | 2010 fuel properties (fuel density, lead and sulphur content) from EPD's fuel analysis |

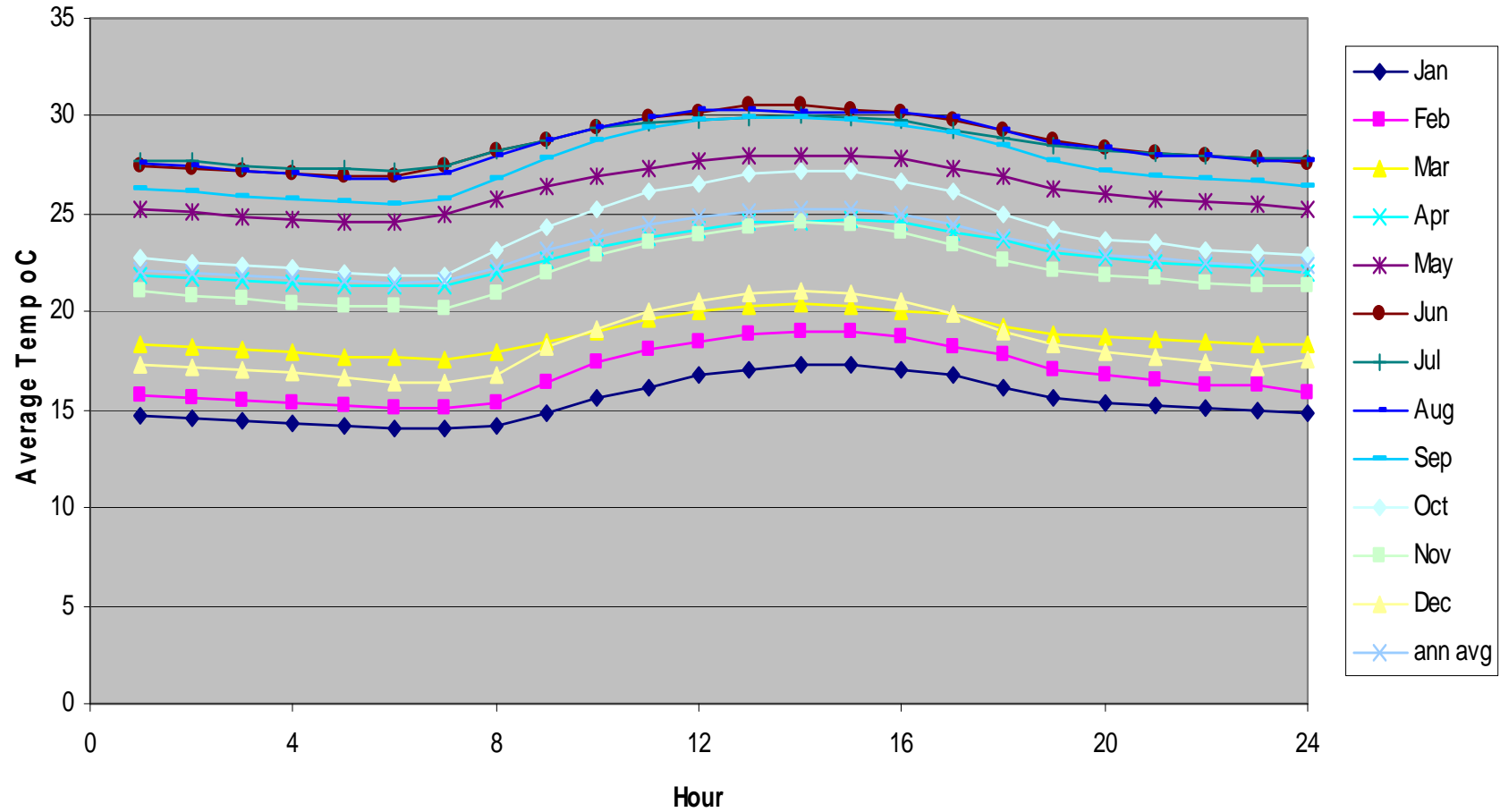
Gross Emitter Model for Diesel Vehicles in Hong Kong

- Diesel vehicles were subdivided into 2 regimes:
 - normal & super
- The percentages of super emitters are estimated from annual smoky vehicle number

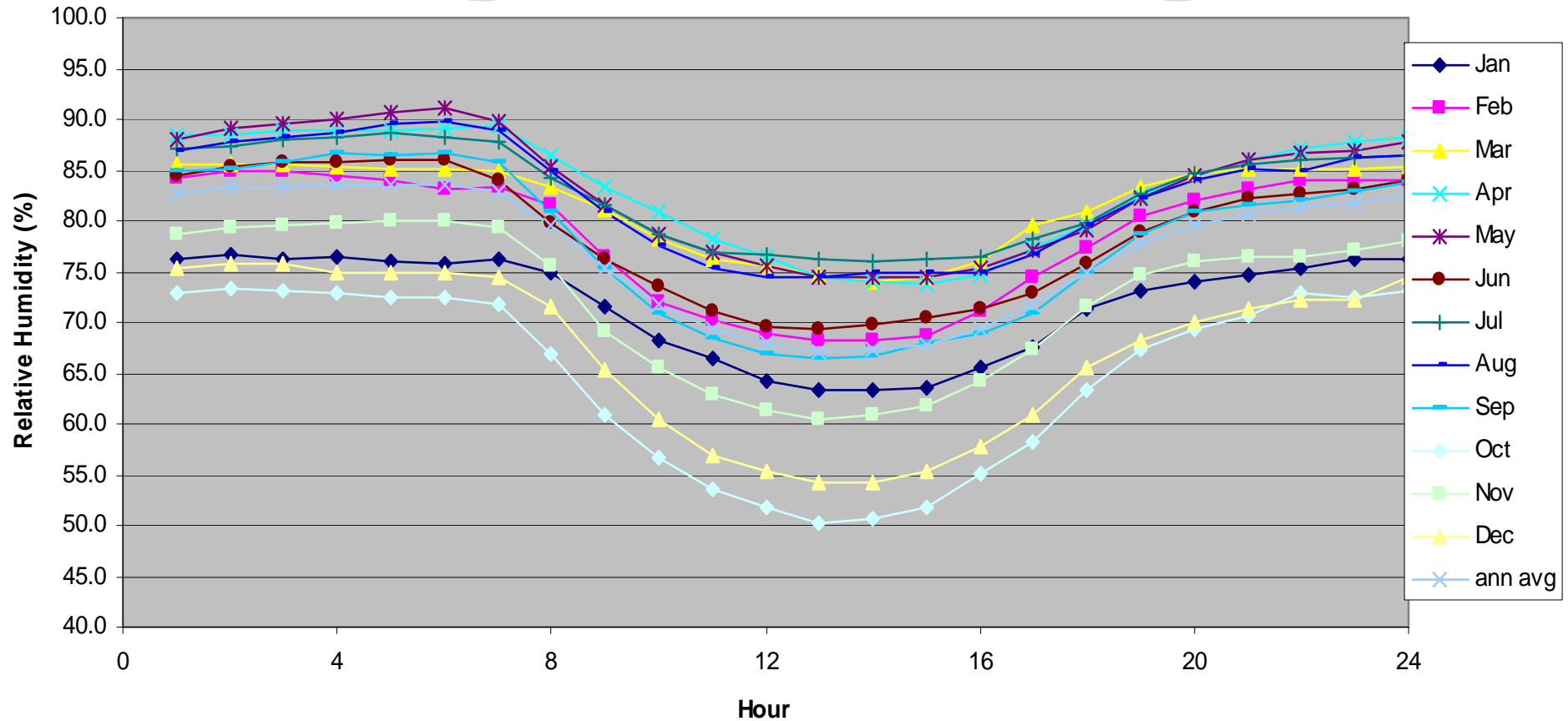
Regime Growth Rates for Heavy Goods Vehicles in 2001



Mthly Avg Temp by Hour in 2004



Mthly Avg Relative Humidity by Hour in 2004





Evaporative Emissions – Fuel Cap Survey

- Sampled at random a certain proportion of vehicles of different vehicle ages from the relevant vehicle classes for a fuel cap pressure test.
- Conducted a survey on the general maintenance condition of the vehicle and a visual assessment.

Sampling Locations



Motor cycle repair shop



Petrol filling station



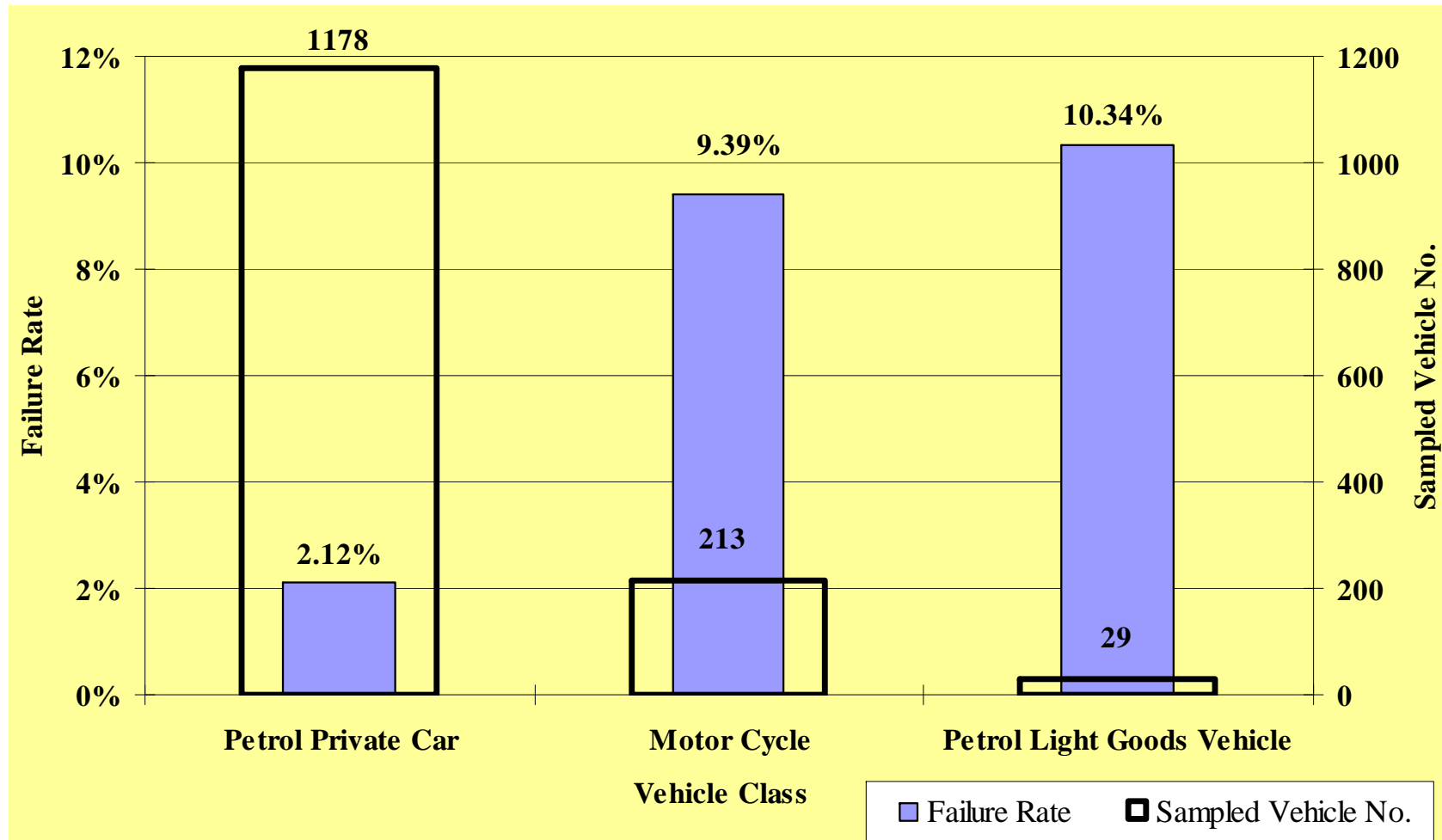
Wash & Wax Shop



Private car repair shop

To ensure randomness, surveys were mainly conducted at petrol filling stations over strategic locations.

Fuel Cap Failure Rates of Petrol Vehicles in Evaporative Survey in 2006



Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|--|
| <p>LPG vehicles were newly introduced. With the absence of measurement and remote sensing data, their deterioration rates and their growth of high and super emitters over age were derived by making reference to petrol vehicles with similar vehicle weight in EMFAC2002.</p> | <p>We have incorporated the excessive emissions of poorly maintained petrol and LPG vehicles, which have been estimated based on our emission measurement data by PEMS equipment and remote sensing equipment.</p> |
| <p>No inspection and maintenance (I/M) programs</p> | <p>I/M programs for taxis, private cars, light buses and goods vehicles from 2013 (a separate executable from 2013 onwards to reflect that)</p> |
| <p>Before 2004, TD restricted the gross vehicle weight (GVW) of light buses (LB) up to 4 tonne, therefore, in V1.2 vehicles class ≤ 3.5 tonne is used.</p> | <p>In 2004, TD had relaxed its restriction on LB's GVW ≤ 5.5 tonne. The increase in GVW causes the transfer of our data to heavy weighted vehicle class (3.86-6.36 tonnes in EMFAC-HK).</p> |

Comparison of EMFAC-HK V2.1 & V1.2 (con't)

| EMFAC-HK V1.2 | EMFAC-HK V2.1 |
|--|---|
| The emission rates were chosen from those in U.S. models including MOBILE5/6, EMFAC2002. | The emission rates and deterioration rates were chosen from those in U.S. models including EMFAC207, MOBILE5/6 and MOVES based on our local vehicle emission data measured by PEMS. |

Vehicles Used for Updating the EMFAC Model

| Vehicle Class | Fuel Type | Emission Standard | | | | | Total |
|-----------------------------|-----------|-------------------|--------|---------|----------|-------------------|-------|
| | | Pre-Euro | Euro I | Euro II | Euro III | Euro IV | |
| Cars | Petrol | | | 2 | 8 | 11 | 21 |
| Taxis | LPG | | | 3 | 4 | 4 | 11 |
| Public light buses | LPG | | | | 4 | 3 | 7 |
| | Diesel | | | 1 | | 1 | 2 |
| Light goods vehicles ≤ 5.5t | Diesel | 4 | 1 | 3 | 11 | 8 (inc. 1 Euro V) | 27 |
| Heavy goods vehicles > 5.5t | Diesel | 1 | | 3 | 11 | 5 (inc. 1 Euro V) | 20 |
| Single Deck Coaches | Diesel | | | | 5 | 7 | 12 |
| Franchised Buses (w DPF) | Diesel | | | 2 | 1 | | 3 |
| Total | | 5 | 1 | 14 | 44 | 39 | 103 |

Euro III & IV Diesel Vehicles Used for Updating the EMFAC Model

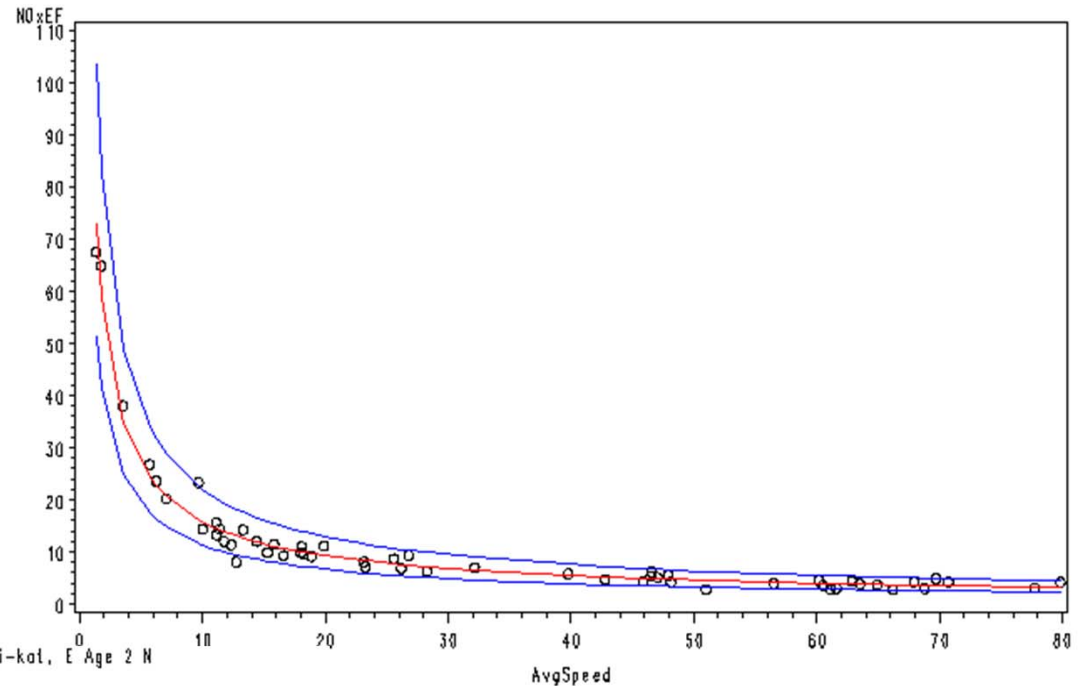
| Vehicle Class | Euro III | | | | Euro IV | | | | |
|-------------------------------|----------|----------|-----------|----------|-----------|----------------|----------|-----------|----------|
| | Nil | DOC | DOC & EGR | EGR | DOC & EGR | POC & DOC, EGR | DPF | DPF & EGR | SCR |
| Public light buses | | | | | | | | 1 | |
| Light goods vehicles <= 5.5 t | | 3 | 8 | | | 4 | | 3 | |
| Heavy goods vehicles > 5.5t | 5 | 1 | 4 | 1 | 1 | 2 | | 1 | |
| Single Deck Coaches | 1 | | 3 | 1 | 1 | | 1 | | 5 |
| Total | 6 | 4 | 15 | 2 | 2 | 6 | 1 | 5 | 5 |

Statistical Analysis

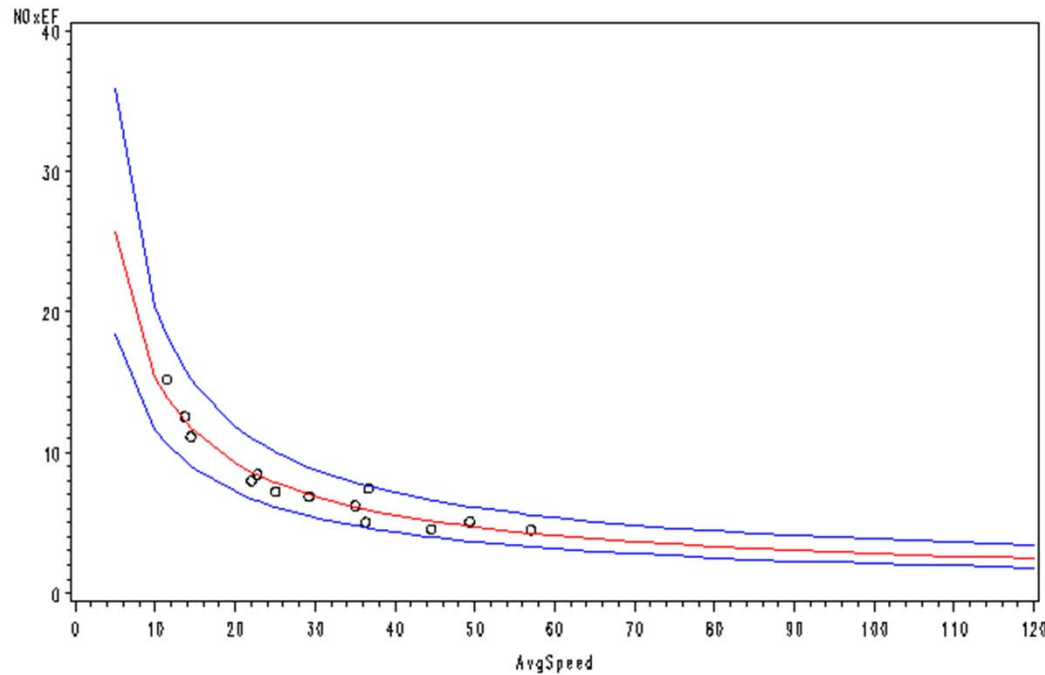
- Calculated emission factors at 1-minute, 8-minute and 1-hour intervals of PEMS data for each vehicle
- Conducted statistical analysis on the emission factors (in g/km) by linear and non-linear models (PROC REG & PROC NLIN)
- Used the estimated trend lines and 95% confidence intervals to estimate the emissions at average speeds of FTP/UC/UDDS cycles
- Selected the estimate with the smallest confidence interval for each test vehicle

A Euro IV Single deck coach, NOx emission factors averaged over 8-minute intervals

E_NO=NG9964 RecType=8M Elcode=NFBB Fuel=Diesel EmiStd=Euro IV dummy=Scania Oxi-kat, E Age 2



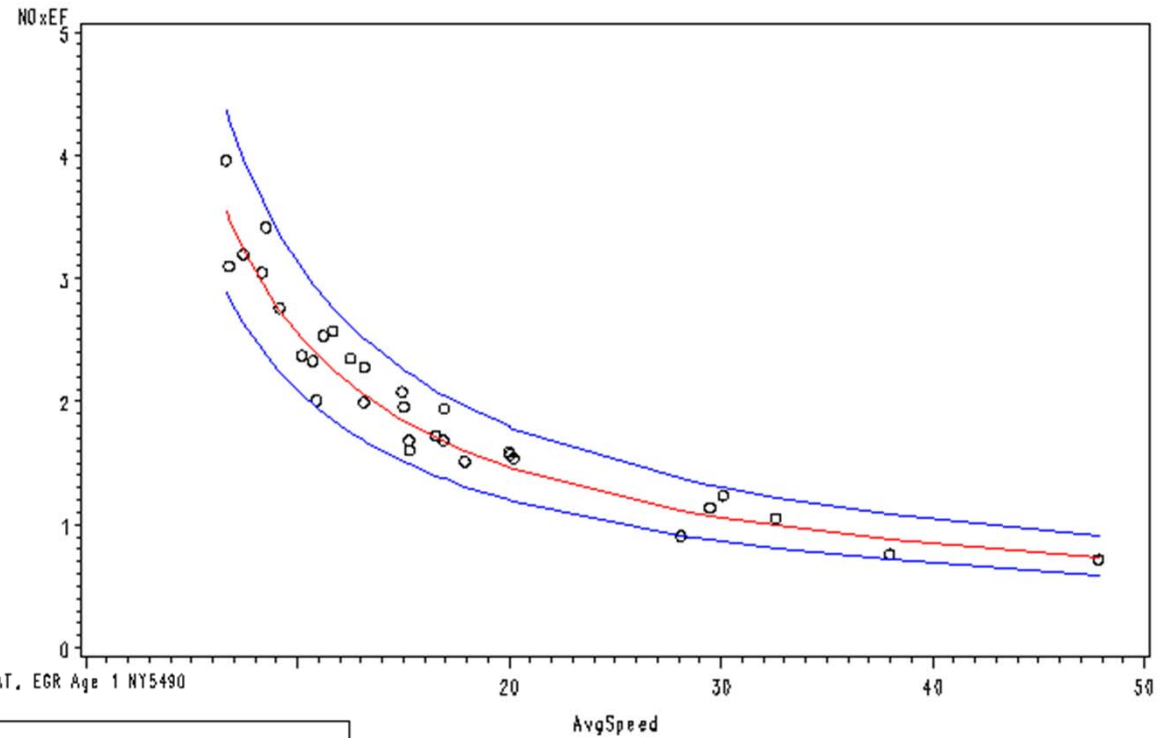
E_NO=NG9964 RecType=T Elcode=NFBB Fuel=Diesel EmiStd=Euro IV dummy=Scania Oxi-kat, E Age 2 H



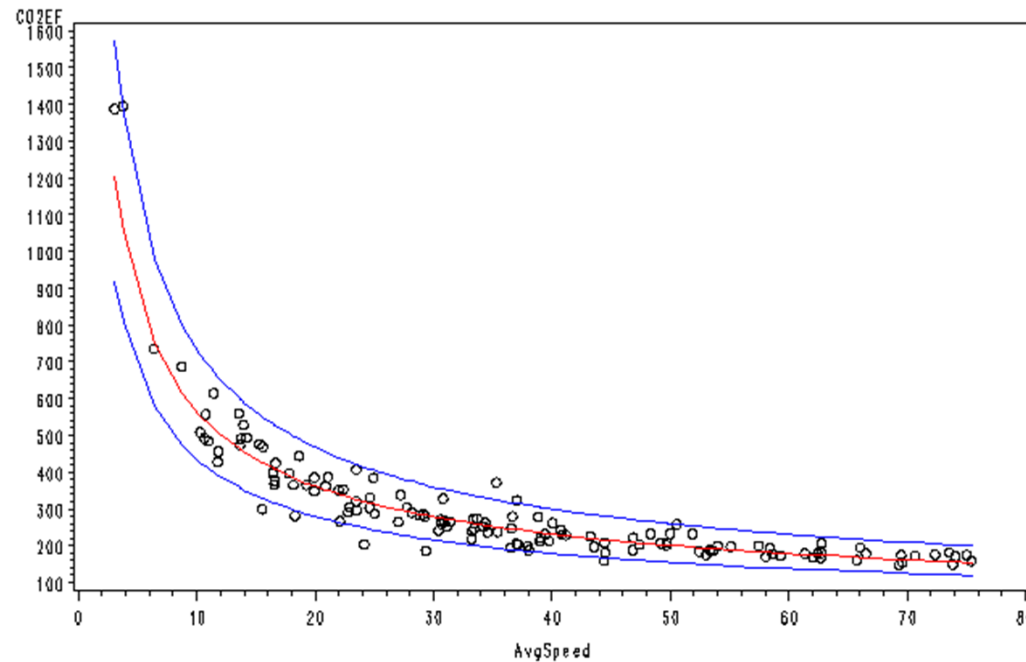
The same Euro IV Single deck coach, NOx emission factors averaged over 1-hour intervals

Petrol Car, Euro II, NOx
emission factors
averaged over 8-minute
intervals

.NO=NG7553 RecType=8W Elcode=PC Fuel=Petrol EmiStd=Euro II dummy=Nissan CAT Age 12 NG7553



SE_NO=NY5490 RecType=8W Elcode=PC fuel=Petrol EmiStd=Euro IV dummy=Opel CAT, EGR Age 1 NY5490



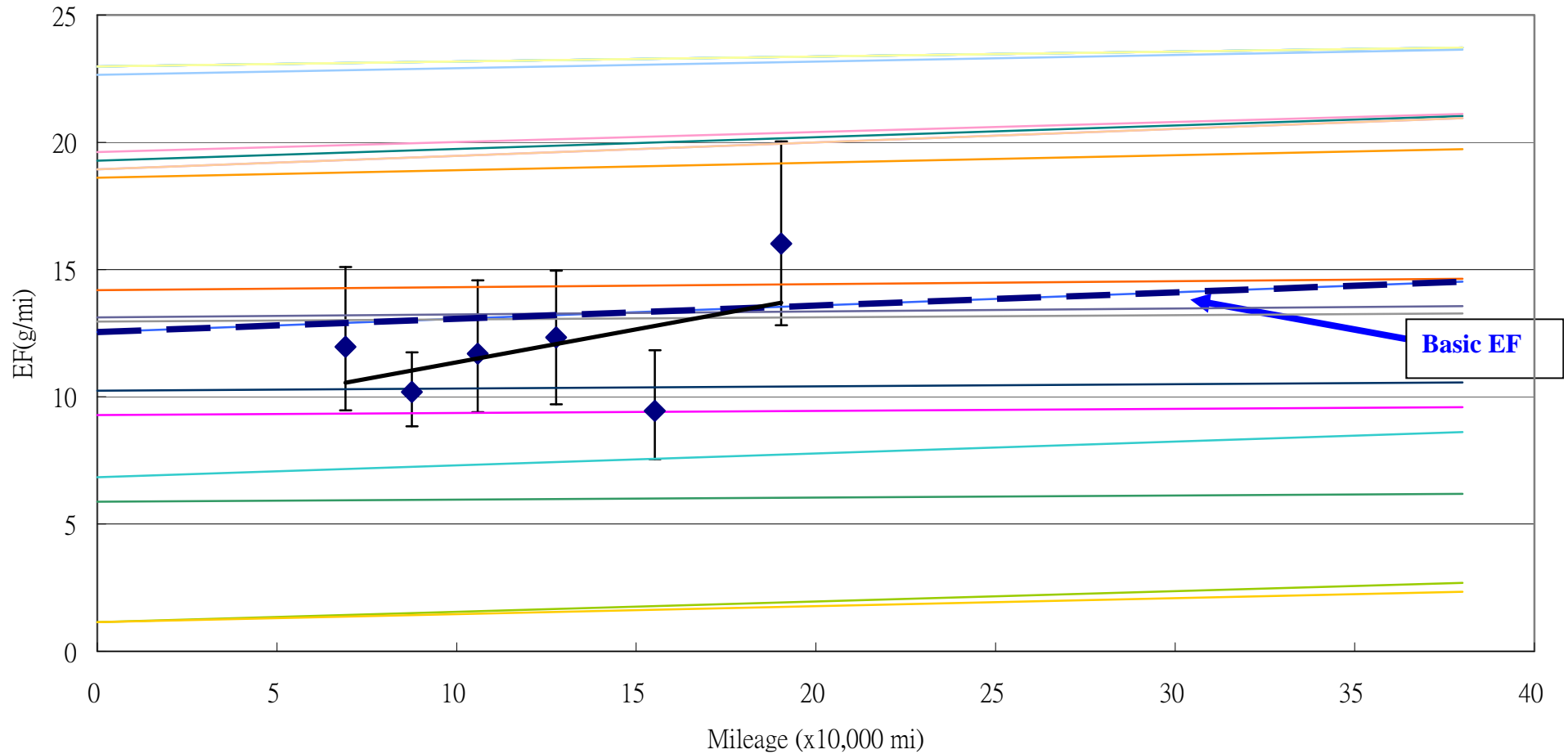
Petrol Car, Euro IV, CO2
emission factors averaged
over 8-minute intervals

Matching of Technologies

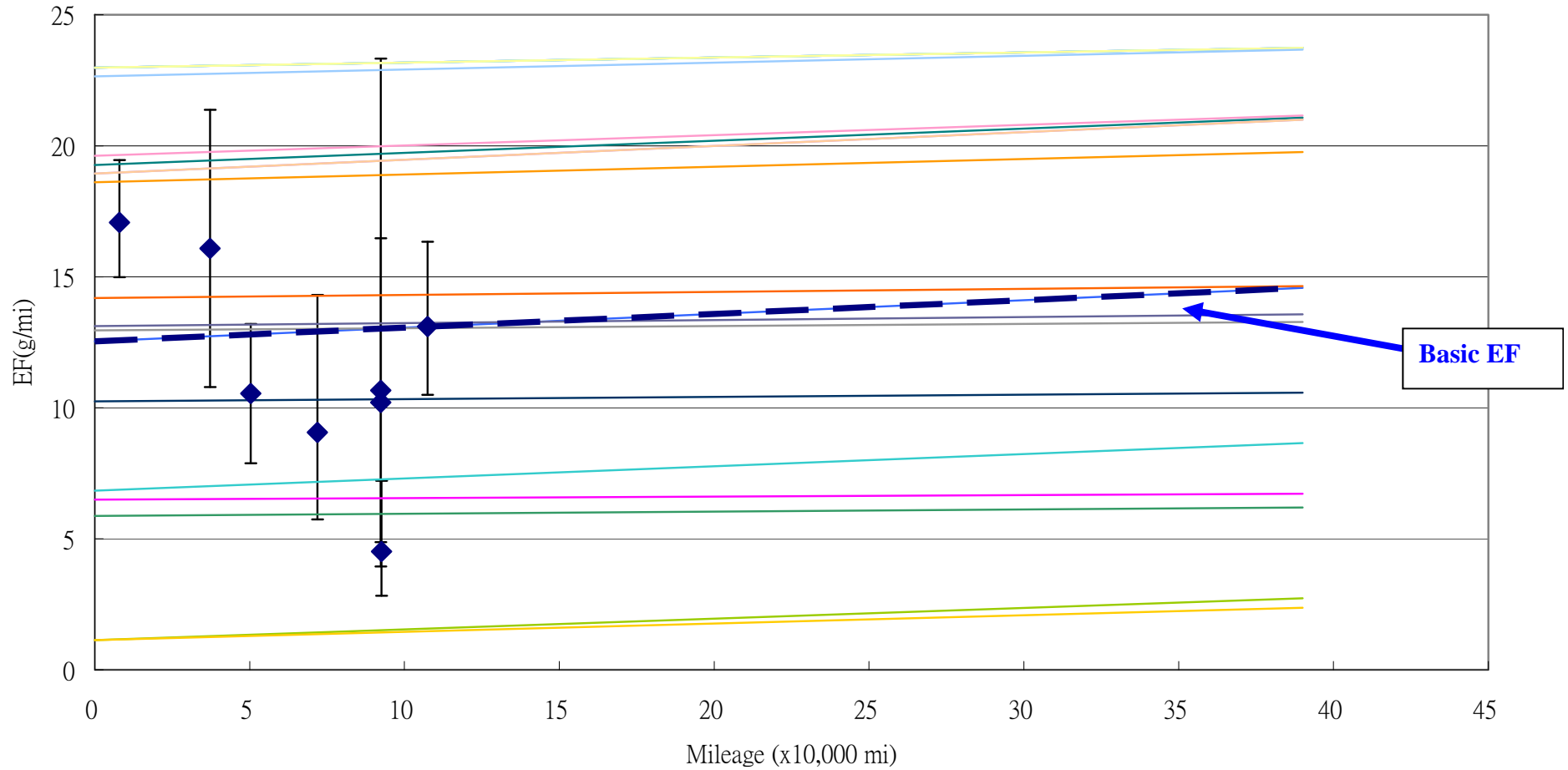
| | Euro I | Euro II | Euro III | Euro IV |
|-----------------|--------|---------|----------|---------|
| Petrol cars | 1995 | 1997 | 2001 | 2006 |
| Diesel vehicles | 1995 | 1997 | 2002 | 2007 |

- Referencing to the emission factors just obtained, selected zero mile emission factors and deterioration rates in Mobile 5/6, and EMFAC2009
- CO2 emission factors by class are the average of PEMS emission factors over all Euro stds of that class
- If no emission factors for a particular Euro std or vehicle class, estimates are based on the ratio of emission standards and by U.S. conversion factors.

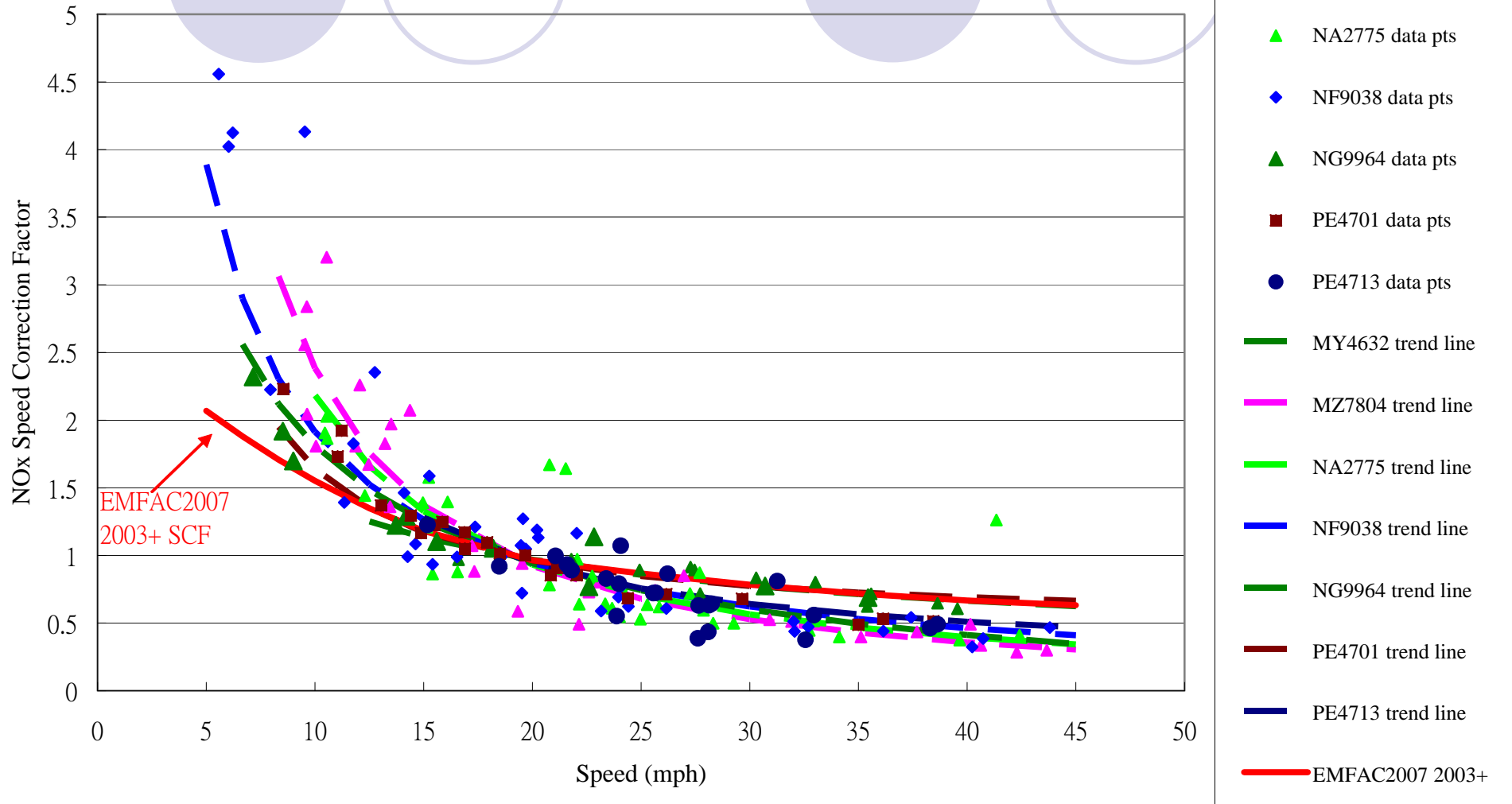
NOx emission factors for Euro III Heavy Goods Vehicles of 5.5-15t MOBILE6 Medium-Heavy Duty Trucks 8.85-15t (MHDT) 1998-2003



NOx emission factors for Euro IV Single Deck Coaches = >15 tonne; 2003-2006, Heavy-Heavy Duty Trucks (HHDV-LHV), diesel, 2003-06, CA 2g NOx Stds



NOx Speed Correction Factor for Euro IV Single Deck Coaches > 15 tonne



Each data pt is NOx emission factor averaged over 1-hour interval in this case

Thank you.

